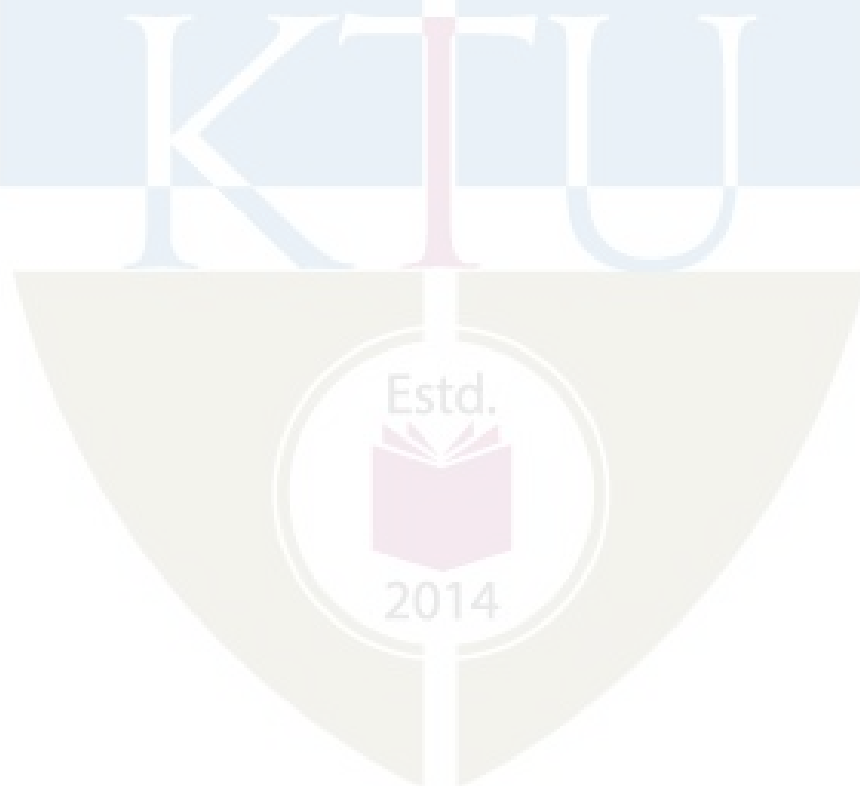


APJ ABDUL KALAM  
TECHNOLOGICAL  
UNIVERSITY

# **SEMESTER IV**



| CODE<br>MAT216 | MATHEMATICAL<br>FOUNDATIONS FOR<br>MACHINE LEARNING | CATEGORY | L | T | P | CREDIT |
|----------------|-----------------------------------------------------|----------|---|---|---|--------|
|                |                                                     | BSC      | 3 | 1 | 0 | 4      |

**Preamble:** This course enables the learners to understand the mathematical foundations of Machine Learning concepts. This course covers Linear Algebra, Vector Calculus, Probability & Distributions and Optimization. Concepts in this course help the learners to identify the inherent assumptions & limitations of the current methodologies and develop new Machine Learning solutions.

**Prerequisite:** A sound background in higher secondary school Mathematics.

**Course Outcomes:** After the completion of the course the student will be able to

|      |                                                                                                                                                                                                                                                                            |
|------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CO 1 | Make use of the concepts, rules and results about linear equations, matrix algebra, vector spaces, eigenvalues & eigenvectors and orthogonality & diagonalization to solve computational problems (Cognitive Knowledge Level: <b>Apply</b> )                               |
| CO 2 | Perform calculus operations on functions of several variables and matrices, including partial derivatives and gradients (Cognitive Knowledge Level: <b>Apply</b> )                                                                                                         |
| CO 3 | Utilize the concepts, rules and results about probability, random variables, additive & multiplicative rules, conditional probability, probability distributions and Bayes' theorem to find solutions of computational problems (Cognitive Knowledge Level: <b>Apply</b> ) |
| CO 4 | Train Machine Learning Models using unconstrained and constrained optimization methods (Cognitive Knowledge Level: <b>Apply</b> )                                                                                                                                          |

**Mapping of course outcomes with program outcomes**

|      | PO 1 | PO 2 | PO 3 | PO 4 | PO 5 | PO 6 | PO 7 | PO 8 | PO 9 | PO 10 | PO 11 | PO 12 |
|------|------|------|------|------|------|------|------|------|------|-------|-------|-------|
| CO 1 | √    | √    | √    | √    |      |      |      |      |      |       |       | √     |
| CO 2 | √    | √    | √    |      |      |      |      |      |      |       |       | √     |
| CO 3 | √    | √    | √    | √    |      |      |      |      |      |       |       | √     |
| CO 4 | √    | √    | √    | √    |      | √    |      |      |      |       |       | √     |

| <b>Abstract POs defined by National Board of Accreditation</b> |                                            |             |                                |
|----------------------------------------------------------------|--------------------------------------------|-------------|--------------------------------|
| <b>PO#</b>                                                     | <b>Broad PO</b>                            | <b>PO#</b>  | <b>Broad PO</b>                |
| <b>PO1</b>                                                     | Engineering Knowledge                      | <b>PO7</b>  | Environment and Sustainability |
| <b>PO2</b>                                                     | Problem Analysis                           | <b>PO8</b>  | Ethics                         |
| <b>PO3</b>                                                     | Design/Development of solutions            | <b>PO9</b>  | Individual and team work       |
| <b>PO4</b>                                                     | Conduct investigations of complex problems | <b>PO10</b> | Communication                  |
| <b>PO5</b>                                                     | Modern tool usage                          | <b>PO11</b> | Project Management and Finance |
| <b>PO6</b>                                                     | The Engineer and Society                   | <b>PO12</b> | Life long learning             |

**Assessment Pattern**

| <b>Bloom's Category</b> | <b>Continuous Assessment Tests</b> |          | <b>End Semester Examination</b> |
|-------------------------|------------------------------------|----------|---------------------------------|
|                         | <b>1</b>                           | <b>2</b> |                                 |
| Remember                | 20%                                | 20%      | 20%                             |
| Understand              | 40%                                | 40%      | 40%                             |
| Apply                   | 40%                                | 40%      | 40%                             |
| Analyse                 |                                    |          |                                 |
| Evaluate                |                                    |          |                                 |
| Create                  |                                    |          |                                 |

**Mark Distribution**

| <b>Total Marks</b> | <b>CIE Marks</b> | <b>ESE Marks</b> | <b>ESE Duration</b> |
|--------------------|------------------|------------------|---------------------|
| <b>150</b>         | <b>50</b>        | <b>100</b>       | <b>3 hours</b>      |

**Continuous Internal Evaluation Pattern:**

Attendance : 10 marks

Continuous Assessment Tests : 25 marks

Continuous Assessment Assignment : 15 marks

**Internal Examination Pattern:**

Each of the two internal examinations has to be conducted out of 50 marks

First Internal Examination shall be preferably conducted after completing the first half of the syllabus and the Second Internal Examination shall be preferably conducted after completing remaining part of the syllabus.

There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly covered module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly covered module), each with 7 marks. Out of the 7 questions in Part B, a student should answer any 5.

**End Semester Examination Pattern:** There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which student should answer anyone. Each question can have maximum 2 sub-divisions and carries 14 marks.

## Syllabus

**Module 1**

**LINEAR ALGEBRA:** Systems of Linear Equations – Matrices, Solving Systems of Linear Equations. Vector Spaces – Vector Spaces, Linear Independence, Basis and Rank. Linear Mappings – Matrix Representation of Linear Mappings, Change of Basis, Image space and null (Kernel) space.

**Module 2**

**ANALYTIC GEOMETRY, MATRIX DECOMPOSITIONS:** Norms, Inner Products, Lengths and Distances, Angles and Orthogonality, Orthonormal Basis, Orthogonal Complement, Orthogonal Projections – Projection into One Dimensional Subspaces, Projection onto General Subspaces.

Determinant and Trace, Eigenvalues and Eigenvectors, Cholesky Decomposition, Eigen decomposition and Diagonalization, Singular Value Decomposition, Matrix Approximation.

**Module 3**

**VECTOR CALCULUS :** Differentiation of Univariate Functions - Partial Differentiation and Gradients, Gradients of Vector Valued Functions, Gradients of Matrices, Useful Identities for Computing Gradients. Back propagation and Automatic Differentiation – Gradients in Deep Network, Automatic Differentiation. Higher Order Derivatives- Linearization and Multivariate TaylorSeries.

**Module 4**

**Probability and Distributions** : Axiomatic definition of probability, Discrete and Continuous random variables, Probability Space, Sum Rule, Product Rule, and Bayes' Theorem. Summary Statistics and Independence – Gaussian Distribution - Conjugacy and the Exponential Family.

**Module 5**

**Optimization** : Optimization Using Gradient Descent - Gradient Descent With Momentum, Stochastic Gradient Descent. Constrained Optimization and Lagrange Multipliers - Convex Optimization - Linear Programming - Quadratic Programming.

**Text book:**

1. Mathematics for Machine Learning by Marc Peter Deisenroth, A. Aldo Faisal, and Cheng Soon Ong published by Cambridge University Press (freely available at <https://mml-book.github.io>)

**Reference books:**

1. Linear Algebra and Its Applications, 4th Edition by Gilbert Strang
2. Linear Algebra Done Right by Axler, Sheldon, 2015 published by Springer
3. Introduction to Applied Linear Algebra by Stephen Boyd and Lieven Vandenberghe, 2018 published by Cambridge University Press
4. Convex Optimization by Stephen Boyd and Lieven Vandenberghe, 2004 published by Cambridge University Press
5. Pattern Recognition and Machine Learning by Christopher M Bishop, 2006, published by Springer
6. Learning with Kernels – Support Vector Machines, Regularization, Optimization, and Beyond by Bernhard Scholkopf and Smola, Alexander J Smola, 2002, published by MIT Press
7. Information Theory, Inference, and Learning Algorithms by David J. C MacKay, 2003 published by Cambridge University Press
8. Machine Learning: A Probabilistic Perspective by Kevin P Murphy, 2012 published by MIT Press.
9. The Nature of Statistical Learning Theory by Vladimir N Vapnik, 2000, published by Springer

**Sample Course Level Assessment Questions.****Course Outcome 1 (CO1):**

1. Find the set  $\mathcal{S}$  of all solutions in  $\mathbf{x}$  of the following linear systems  $\mathbf{Ax} = \mathbf{b}$ , where  $\mathbf{A}$  and  $\mathbf{b}$  are defined as follows:

$$\mathbf{A} = \begin{bmatrix} 1 & -1 & 0 & 0 & 1 \\ 1 & 1 & 0 & -3 & 0 \\ 2 & -1 & 0 & 1 & -1 \\ -1 & 2 & 0 & -2 & -1 \end{bmatrix}, \quad \mathbf{b} = \begin{bmatrix} 3 \\ 6 \\ 5 \\ -1 \end{bmatrix}$$

2. Determine the inverses of the following matrix if possible

$$\mathbf{A} = \begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{bmatrix}$$

3. Find the characteristic equation, eigenvalues, and eigen vectors corresponding to each eigenvalue of the following matrix

$$\begin{bmatrix} 2 & 0 & 4 \\ 0 & 3 & 0 \\ 0 & 1 & 2 \end{bmatrix}$$

4. Diagonalize the following matrix, if possible

$$\begin{bmatrix} 3 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 1 & 0 & 0 & 3 \end{bmatrix}$$

5. Find the singular value decomposition (SVD) of the following matrix

$$\begin{bmatrix} 0 & 1 & 1 \\ \sqrt{2} & 2 & 0 \\ 0 & 1 & 1 \end{bmatrix}$$

**Course Outcome 2 (CO2):**

1. For a scalar function  $f(x, y, z) = x^2 + 3y^2 + 2z^2$ , find the gradient and its magnitude at

the point  $(1, 2, -1)$ .

2. Find the maximum and minimum values of the function  $f(x,y)=4x+4y-x^2-y^2$  subject to the condition  $x^2 + y^2 \leq 2$ .
3. Suppose you were trying to minimize  $f(x, y) = x^2 + 2y + 2y^2$ . Along what vector should you travel from  $(5,12)$ ?
4. Find the second order Taylor series expansion for  $f(x, y) = (x + y)^2$  about  $(0, 0)$ .
5. Find the critical points of  $f(x, y) = x^2 - 3xy + 5x - 2y + 6y^2 + 8$ .
6. Compute the gradient of the Rectified Linear Unit (ReLU) function  $\text{ReLU}(z) = \max(0, z)$ .
7. Let  $L = \|Ax - b\|^2$ , where  $A$  is a matrix and  $x$  and  $b$  are vectors. Derive  $dL$  in terms of  $dx$ .

### Course Outcome 3 (CO3):

1. Let  $J$  and  $T$  be independent events, where  $P(J)=0.4$  and  $P(T)=0.7$ .
  - i. Find  $P(J \cap T)$
  - ii. Find  $P(J \cap T')$
  - iii. Find  $P(J \cap T')$
2. Let  $A$  and  $B$  be events such that  $P(A)=0.45$ ,  $P(B)=0.35$  and  $P(A \cup B)=0.5$ . Find  $P(A|B)$ .
3. A random variable  $R$  has the probability distribution as shown in the following table:

| $r$      | 1   | 2   | 3   | 4    | 5    |
|----------|-----|-----|-----|------|------|
| $P(R=r)$ | 0.2 | $a$ | $b$ | 0.25 | 0.15 |

- i. Given that  $E(R)=2.85$ , find  $a$  and  $b$ .
  - ii. Find  $P(R>2)$ .
4. A biased coin (with probability of obtaining a head equal to  $p > 0$ ) is tossed repeatedly and independently until the first head is observed. Compute the probability that the first head appears at an even numbered toss.
5. Two players A and B are competing at a trivia quiz game involving a series of questions. On any individual question, the probabilities that A and B give the correct answer are  $p$  and  $q$  respectively, for all questions, with outcomes for different

questions being independent. The game finishes when a player wins by answering a question correctly. Compute the probability that A wins if

- i. A answers the first question,
  - ii. B answers the first question.
6. A coin for which  $P(\text{heads}) = p$  is tossed until two successive tails are obtained. Find the probability that the experiment is completed on the  $n^{\text{th}}$  toss.

#### Course Outcome 4(CO4):

1. Find the extrema of  $f(x, y) = x$  subject to  $g(x, y) = x^2 + 2y^2 = 3$ .
2. Maximize the function  $f(x, y, z) = xy + yz + xz$  on the unit sphere  $g(x, y, z) = x^2 + y^2 + z^2 = 1$ .
3. Provide necessary and sufficient conditions under which a quadratic optimization problem be written as a linear least squares problem.
4. Consider the univariate function  $f(x) = x^3 + 6x^2 - 3x - 5$ . Find its stationary points and indicate whether they are maximum, minimum, or saddlepoints.
5. Consider the update equation for stochastic gradient descent. Write down the update when we use a mini-batch size of one.
6. Consider the function

$$f(x) = (x_1 - x_2)^2 + \frac{1}{1 + x_1^2 + x_2^2}.$$

- i. Is  $f(x)$  a convex function? Justify your answer.
  - ii. Is  $(1, -1)$  a local/global minimum? Justify your answer.
7. Is the function  $f(x, y) = 2x^2 + y^2 + 6xy - x + 3y - 7$  convex, concave, or neither? Justify your answer.
  8. Consider the following convex optimization problem

$$\text{minimize } \frac{x^2}{2} + x + 4y^2 - 2y$$

Subject to the constraint  $x + y \geq 4, x, y \geq 1$ .

Derive an explicit form of the Lagrangian dual problem.

9. Solve the following LP problem with the simplex method.

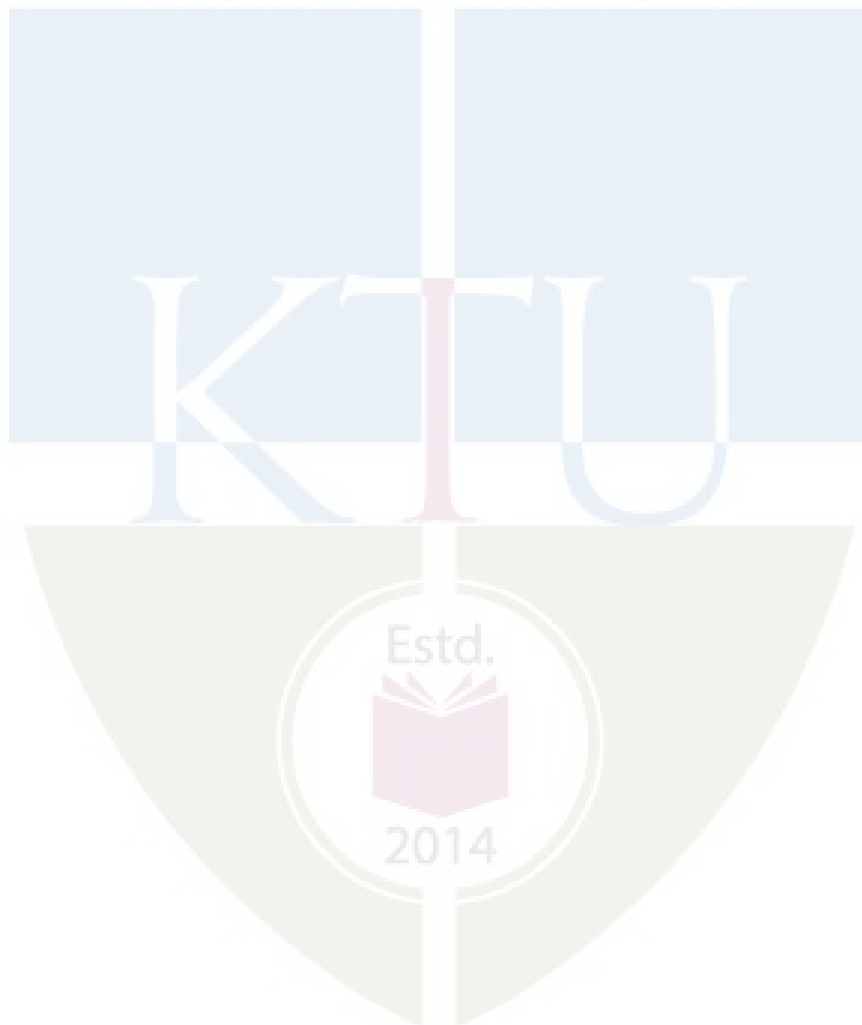
$$\max 5x_1 + 6x_2 + 9x_3 + 8x_4$$

subject to the constraints

$$x_1 + 2x_2 + 3x_3 + x_4 \leq 5$$

$$x_1 + x_2 + 2x_3 + 3x_4 \leq 3$$

$$x_1, x_2, x_3, x_4 \geq 0$$



**Model Question paper**

QP Code :

**Total Pages : 5**

Reg No.: \_\_\_\_\_

Name: \_\_\_\_\_

**APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY**  
**IV SEMESTER B.TECH DEGREE EXAMINATION, MONTH and YEAR**

**Course Code: MAT 216****Course Name: MATHEMATICAL FOUNDATIONS FOR MACHINE LEARNING**

Max. Marks: 100

Duration: 3 Hours

**PART A***Answer all questions, each carries 3 marks.*

Marks

- 1 Show that with the usual operation of scalar multiplication but with addition on reals given by  $x \# y = 2(x + y)$  is not a vector space.
- 2 Are the following sets of vectors linearly independent? Explain your answer.  

$$x_1 = \begin{bmatrix} 2 \\ -1 \\ 3 \end{bmatrix}, \quad x_2 = \begin{bmatrix} 1 \\ 1 \\ -2 \end{bmatrix}, \quad x_3 = \begin{bmatrix} 3 \\ -3 \\ 8 \end{bmatrix}$$
- 3 Find the angle between the vectors  $x = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$  and  $y = \begin{bmatrix} 1 \\ 2 \end{bmatrix}$ .
- 4 Find the eigen values of the following matrix in terms of k. Can you find an eigen vector corresponding to each of the eigen values?  

$$\begin{bmatrix} 1 & k \\ 2 & 1 \end{bmatrix}$$
- 5 Let  $f(x, y, z) = xye^r$ , where  $r = x^2 + z^2 - 5$ . Calculate the gradient of  $f$  at the point  $(1, 3, -2)$ .
- 6 Compute the Taylor polynomials  $T_n, n = 0, \dots, 5$  of  $f(x) = \sin(x) + \cos(x)$  at  $x_0 = 0$ .
- 7 Let  $X$  be a continuous random variable with probability density function on  $0 \leq x \leq 1$  defined by  $f(x) = 3x^2$ . Find the pdf of  $Y = X^2$ .
- 8 Show that if two events  $A$  and  $B$  are independent, then  $A$  and  $B'$  are independent.
- 9 Explain the principle of the gradient descent algorithm.
- 10 Briefly explain the difference between (batch) gradient descent and stochastic gradient descent. Give an example of when you might prefer one over the other.

**PART B***Answer any one Question from each module. Each question carries 14 Marks*

- 11 a) i. Find all solutions to the system of linear equations (4)

$$-4x + 5z = -2$$

$$-3x - 3y + 5z = 3$$

$$-x + 2y + 2z = -1$$

ii. Prove that all vectors orthogonal to  $[2, -3, 1]^T$  forms a subspace (4)

$W$  of  $R^3$ . What is  $\dim(W)$  and why?

- b) A set of  $n$  linearly independent vectors in  $R^n$  forms a basis. Does the set of vectors  $(2, 4, -3), (0, 1, 1), (0, 1, -1)$  form a basis for  $R^3$ ? Explain your reasons. (6)

OR

- 12 a) Find all solutions in  $x = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} \in R^3$  of the equation system  $Ax = 12x$ , (7)

where  $A = \begin{bmatrix} 6 & 4 & 3 \\ 6 & 0 & 9 \\ 0 & 8 & 0 \end{bmatrix}$  and  $\sum_{i=1}^3 x_i = 1$ .

- b) Consider the transformation  $T(x, y) = (x + y, x + 2y, 2x + 3y)$ . Obtain  $\ker T$  and use this to calculate the nullity. Also find the transformation matrix for  $T$ . (7)

- 13 a) What is meant by the least square solution to the equation  $Ax=b$ ? Find the least square solution to the equation  $Ax=b$ , where  $A = \begin{bmatrix} 1 & 2 \\ 1 & 3 \\ 0 & 0 \end{bmatrix}$  and  $b = \begin{bmatrix} 4 \\ 5 \\ 6 \end{bmatrix}$  (7)

- b) Find the SVD of the matrix. (7)

$$\begin{bmatrix} 2 & 2 \\ -1 & -1 \end{bmatrix}$$

OR

- 14 a) i. Let  $L$  be the line through the origin in  $R^2$  that is parallel to the vector  $[3, 4]^T$ . Find the standard matrix of the orthogonal projection onto  $L$ . Also find the point on  $L$  which is closest to the point  $(7, 1)$  and find the point on  $L$  which is closest to the point  $(-3, 5)$ . (6)

ii. Find the rank-1 approximation of

$$\begin{bmatrix} 3 & 2 & 2 \\ 2 & 3 & -2 \end{bmatrix}$$

- b) i. Find an orthonormal basis of  $R^3$  consisting of eigenvectors for the following matrix,  $A$ . (8)

$$\begin{bmatrix} 1 & 0 & -2 \\ 0 & 5 & 0 \\ -2 & 0 & 4 \end{bmatrix}$$

ii. Find a  $3 \times 3$  orthogonal matrix  $S$  and a  $3 \times 3$  diagonal matrix  $D$  such that  $A = SDS^T$

- 15 a) A skier is on a mountain with equation  $z = 100 - 0.4x^2 - 0.3y^2$ , where  $z$  denotes height. (8)

i. The skier is located at the point with  $xy$ -coordinates  $(1, 1)$ , and wants to ski downhill along the steepest possible path. In which direction (indicated by a vector  $(a, b)$  in the  $xy$ -plane) should the skier begin skiing.

ii. The skier begins skiing in the direction given by the  $xy$ -vector  $(a, b)$  you found in part (i), so the skier heads in a direction in space given by the vector  $(a, b, c)$ . Find the value of  $c$ .

- b) Find the linear approximation to the function  $f(x, y) = 2 - \sin(-x - 3y)$  at the point  $(0, \pi)$ , and then use your answer to estimate  $f(0.001, \pi)$ . (6)

OR

- 16 a) Let  $g$  be the function given by (8)

$$g(x, y) = \begin{cases} \frac{x^2 y}{x^2 + y^2} & \text{if } (x, y) \neq (0, 0); \\ 0 & \text{if } (x, y) = (0, 0). \end{cases}$$

i. Calculate the partial derivatives of  $g$  at  $(0, 0)$ .

ii. Show that  $g$  is not differentiable at  $(0, 0)$ .

- b) Find the second order Taylor series expansion for  $f(x, y) = e^{-(x^2 + y^2)} \cos(xy)$  about  $(0, 0)$ . (6)

- 17 a) There are two bags. The first bag contains four mangos and two apples; the second bag contains four mangos and four apples. We also have a biased coin, which shows “heads” with probability 0.6 and “tails” with probability 0.4. If the coin shows “heads”, we pick a fruit at random from bag 1; otherwise we pick a fruit at random from bag 2. Your friend flips the coin (you cannot see the result), picks a fruit at random from the corresponding bag, and presents you a mango. (6)

What is the probability that the mango was picked from bag 2?

- b) Suppose that one has written a computer program that sometimes compiles and sometimes not (code does not change). You decide to model the apparent stochasticity (success vs. no success)  $x$  of the compiler using a Bernoulli distribution with parameter  $\mu$ : (8)

$$p(x | \mu) = \mu^x (1 - \mu)^{1-x}, \quad x \in \{0, 1\}$$

Choose a conjugate prior for the Bernoulli likelihood and compute the

posterior distribution  $p(\mu | x_1, \dots, x_N)$ .

OR

- 18 a) Two dice are rolled. (6)

A = 'sum of two dice equals 3'

B = 'sum of two dice equals 7'

C = 'at least one of the dice shows a 1'

- What is  $P(A|C)$ ?
- What is  $P(B|C)$ ?
- Are A and C independent? What about B and C?

- b) Consider the following bivariate distribution  $p(x,y)$  of two discrete random variables X and Y. (8)

|   |       |       |       |       |       |       |
|---|-------|-------|-------|-------|-------|-------|
| Y | $y_1$ | 0.01  | 0.02  | 0.03  | 0.1   | 0.1   |
|   | $y_2$ | 0.05  | 0.1   | 0.05  | 0.07  | 0.2   |
|   | $y_3$ | 0.1   | 0.05  | 0.03  | 0.05  | 0.04  |
|   |       | $x_1$ | $x_2$ | $x_3$ | $x_4$ | $x_5$ |
|   |       | X     |       |       |       |       |

Compute:

- The marginal distributions  $p(x)$  and  $p(y)$ .
- The conditional distributions  $p(x|Y = y_1)$  and  $p(y|X = x_3)$ .

- 19 a) Find the extrema of  $f(x,y,z) = x - y + z$  subject to  $g(x,y,z) = x^2 + y^2 + z^2 = 2$ . (8)

- b) Let

$$P = \begin{bmatrix} 13 & 12 & -2 \\ 12 & 17 & 6 \\ -2 & 6 & 12 \end{bmatrix}, \quad q = \begin{bmatrix} -22.0 \\ -14.5 \\ 13.0 \end{bmatrix}, \quad \text{and } r = 1.$$

Show that  $x^* = (1, 1/2, -1)$  is optimal for the optimization problem

$$\begin{aligned} \min \quad & \frac{1}{2}x^T Px + q^T x + r \\ \text{s.t.} \quad & -1 \leq x_i \leq 1, \quad i = 1, 2, 3. \end{aligned} \quad (6)$$

OR

- 20 a) Derive the gradient descent training rule assuming that the target function is represented as  $o_d = w_0 + w_1 x_1 + \dots + w_n x_n$ . Define explicitly the cost/ error function  $E$ , assuming that a set of training examples  $D$  is provided, where each training example  $d \in D$  is associated with the target output  $t_d$ . (8)

- b) Find the maximum value of  $f(x,y,z) = xyz$  given that  $g(x,y,z) = x + y + z = 3$  and  $x, y, z \geq 0$ . (6)

| Teaching Plan |                                                                    |                         |
|---------------|--------------------------------------------------------------------|-------------------------|
| No            | Topic                                                              | No. of Lectures<br>(45) |
|               | <b>Module-I (LINEAR ALGEBRA)</b>                                   | <b>8</b>                |
| 1.1           | Matrices, Solving Systems of Linear Equations                      | 1                       |
| 1.2           | Vector Spaces                                                      | 1                       |
| 1.3           | Linear Independence                                                | 1                       |
| 1.4           | Basis and Rank (Lecture – 1)                                       | 1                       |
| 1.5           | Basis and Rank (Lecture – 2)                                       | 1                       |
| 1.6           | Linear Mappings                                                    | 1                       |
| 1.7           | Matrix Representation of Linear Mappings                           | 1                       |
| 1.8           | Image space, null space                                            | 1                       |
|               | <b>Module-II (ANALYTIC GEOMETRY, MATRIX DECOMPOSITIONS)</b>        | <b>11</b>               |
| 2.1           | Norms, Inner Products                                              | 1                       |
| 2.2           | Lengths and Distances, Angles and Orthogonality                    | 1                       |
| 2.3           | Orthonormal Basis, Orthogonal Complement                           | 1                       |
| 2.4           | Orthogonal Projections – Projection into One Dimensional Subspaces | 1                       |
| 2.5           | Projection onto General Subspaces.                                 | 1                       |
| 2.6           | Determinant and Trace, Eigen values and Eigenvectors.              | 1                       |
| 2.7           | Cholesky Decomposition                                             | 1                       |
| 2.8           | Eigen decomposition and Diagonalization                            | 1                       |

|      |                                                                                                                     |           |
|------|---------------------------------------------------------------------------------------------------------------------|-----------|
| 2.9  | Eigen decomposition and Diagonalization                                                                             | 1         |
| 2.10 | Singular Value Decomposition                                                                                        | 1         |
| 2.11 | Matrix Approximation                                                                                                | 1         |
|      | <b>Module-III (VECTOR CALCULUS)</b>                                                                                 | <b>9</b>  |
| 3.1  | Differentiation of Univariate Functions, Partial Differentiation and Gradients                                      | 1         |
| 3.2  | Gradients of Vector Valued Functions (Lecture 1)                                                                    | 1         |
| 3.3  | Gradients of Vector Valued Functions (Lecture 2)                                                                    | 1         |
| 3.4  | Gradients of Matrices                                                                                               | 1         |
| 3.5  | Useful Identities for Computing Gradients                                                                           | 1         |
| 3.6  | Backpropagation and Automatic Differentiation – Gradients in deep Network                                           | 1         |
| 3.7  | Automatic Differentiation                                                                                           | 1         |
| 3.8  | Higher Order Derivatives                                                                                            | 1         |
| 3.9  | Linearization and Multivariate Taylor Series                                                                        | 1         |
|      | <b>Module-IV (Probability and Distributions)</b>                                                                    | <b>10</b> |
| 4.1  | Axiomatic definition of Probability, Probability Space, Random variables                                            | 1         |
| 4.2  | Discrete random variables (Probability Mass Function, Cumulative Distribution Function, Marginal distribution)      | 1         |
| 4.3  | Continuous random variables (Probability Density Function, Cumulative Distribution Function, Marginal distribution) | 1         |
| 4.4  | Sum Rule, Product Rule, Bayes' Theorem                                                                              | 1         |
| 4.5  | Summary Statistics and Independence (Lecture 1)                                                                     | 1         |
| 4.6  | Summary Statistics and Independence (Lecture 2)                                                                     | 1         |
| 4.7  | Bernoulli, Binomial, Uniform (Discrete) Distributions                                                               | 1         |
| 4.8  | Uniform (Continuous), Poisson Distributions                                                                         | 1         |
| 4.9  | Gaussian Distribution                                                                                               | 1         |

|      |                                                                                      |   |
|------|--------------------------------------------------------------------------------------|---|
| 4.10 | Conjugacy and the Exponential Family (Beta – Bernoulli, Beta – Binomial Conjugacies) | 1 |
|      | <b>Module-V (Optimization)</b>                                                       | 7 |
| 5.1  | Optimization Using Gradient Descent.                                                 | 1 |
| 5.2  | Gradient Descent With Momentum, Stochastic Gradient Descent                          | 1 |
| 5.3  | Constrained Optimization and Lagrange Multipliers (Lecture 1)                        | 1 |
| 5.4  | Constrained Optimization and Lagrange Multipliers (Lecture 2)                        | 1 |
| 5.5  | Convex Optimization                                                                  | 1 |
| 5.6  | Linear Programming                                                                   | 1 |
| 5.7  | Quadratic Programming                                                                | 1 |



| CST202 | COMPUTER ORGANISATION AND ARCHITECTURE | CATEGORY | L | T | P | CREDIT | YEAR OF INTRODUCTION |
|--------|----------------------------------------|----------|---|---|---|--------|----------------------|
|        |                                        | PCC      | 3 | 1 | 0 | 4      | 2019                 |

**Preamble:**

The course is prepared with the view of enabling the learners capable of understanding the fundamental architecture of a digital computer. Study of Computer Organization and Architecture is essential to understand the hardware behind the code and its execution at physical level by interacting with existing memory and I/O structure. It helps the learners to understand the fundamentals about computer system design so that they can extend the features of computer organization to detect and solve problems occurring in computer architecture.

**Prerequisite :** Topics covered under the course Logic System Design (CST 203)

**Course Outcomes:** After the completion of the course the student will be able to

| CO# | CO                                                                                                                                                              |
|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CO1 | Recognize and express the relevance of basic components, I/O organization and pipelining schemes in a digital computer <b>(Cognitive knowledge: Understand)</b> |
| CO2 | Explain the types of memory systems and mapping functions used in memory systems <b>(Cognitive Knowledge Level: Understand)</b>                                 |
| CO3 | Demonstrate the control signals required for the execution of a given instruction <b>(Cognitive Knowledge Level: Apply) )</b>                                   |
| CO4 | Illustrate the design of Arithmetic Logic Unit and explain the usage of registers in it <b>(Cognitive Knowledge Level: Apply)</b>                               |
| CO5 | Explain the implementation aspects of arithmetic algorithms in a digital computer <b>(Cognitive Knowledge Level: Apply)</b>                                     |
| CO6 | Develop the control logic for a given arithmetic problem <b>(Cognitive Knowledge Level: Apply)</b>                                                              |

**Mapping of course outcomes with program outcomes**

|     | PO1 | PO2 | PO3 | PO4 | PO5 | PO6 | PO7 | PO8 | PO9 | PO10 | PO11 | PO12 |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|------|
| CO1 | ✓   | ✓   | ✓   | ✓   |     |     |     |     |     |      |      | ✓    |
| CO2 | ✓   | ✓   | ✓   | ✓   |     |     |     |     |     | ✓    |      | ✓    |
| CO3 | ✓   | ✓   | ✓   | ✓   |     |     |     |     |     | ✓    |      | ✓    |
| CO4 | ✓   | ✓   | ✓   | ✓   |     |     |     |     |     | ✓    |      | ✓    |
| CO5 | ✓   | ✓   | ✓   |     |     |     |     |     |     | ✓    |      | ✓    |
| CO6 | ✓   | ✓   | ✓   | ✓   |     |     |     |     |     |      |      | ✓    |

| Abstract POs defined by National Board of Accreditation |                                            |      |                                |
|---------------------------------------------------------|--------------------------------------------|------|--------------------------------|
| PO#                                                     | Broad PO                                   | PO#  | Broad PO                       |
| PO1                                                     | Engineering Knowledge                      | PO7  | Environment and Sustainability |
| PO2                                                     | Problem Analysis                           | PO8  | Ethics                         |
| PO3                                                     | Design/Development of solutions            | PO9  | Individual and team work       |
| PO4                                                     | Conduct investigations of complex problems | PO10 | Communication                  |
| PO5                                                     | Modern tool usage                          | PO11 | Project Management and Finance |
| PO6                                                     | The Engineer and Society                   | PO12 | Life long learning             |

**Assessment Pattern**

| Bloom's Category | Continuous Assessment Tests |           | End Semester Examination Marks (%) |
|------------------|-----------------------------|-----------|------------------------------------|
|                  | Test1 (%)                   | Test2 (%) |                                    |
| Remember         | 20                          | 20        | 30                                 |
| Understand       | 40                          | 40        | 30                                 |
| Apply            | 40                          | 40        | 40                                 |
| Analyze          |                             |           |                                    |

|          |  |  |  |
|----------|--|--|--|
| Evaluate |  |  |  |
| Create   |  |  |  |

**Mark Distribution**

| Total Marks | CIE Marks | ESE Marks | ESE Duration |
|-------------|-----------|-----------|--------------|
| 150         | 50        | 100       | 3 hours      |

**Continuous Internal Evaluation Pattern:**

|                                  |            |
|----------------------------------|------------|
| Attendance                       | : 10 marks |
| Continuous Assessment Tests      | : 25 marks |
| Continuous Assessment Assignment | : 15 marks |

**Internal Examination Pattern:**

Each of the two internal examinations has to be conducted out of 50 marks

First Internal Examination shall be preferably conducted after completing the first half of the syllabus and the Second Internal Examination shall be preferably conducted after completing remaining part of the syllabus.

There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly covered module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly covered module), each with 7 marks. Out of the 7 questions in Part B, a student should answer any 5.

**End Semester Examination Pattern:**

There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which a student should answer any one. Each question can have maximum 2 sub-divisions and carries 14 marks.

**Syllabus****Module 1**

**Basic Structure of computers** – functional units - basic operational concepts - bus structures. Memory locations and addresses - memory operations, Instructions and instruction sequencing , addressing modes.

**Basic processing unit** – fundamental concepts – instruction cycle – execution of a complete instruction - single bus and multiple bus organization

**Module 2**

**Register transfer logic:** inter register transfer – arithmetic, logic and shift micro operations.

**Processor logic design:** - processor organization – Arithmetic logic unit - design of arithmetic circuit - design of logic circuit - Design of arithmetic logic unit - status register – design of shifter - processor unit – design of accumulator.

**Module 3**

**Arithmetic algorithms:** Algorithms for multiplication and division (restoring method) of binary numbers. Array multiplier , Booth's multiplication algorithm.

**Pipelining:** Basic principles, classification of pipeline processors, instruction and arithmetic pipelines (Design examples not required), hazard detection and resolution.

**Module 4**

**Control Logic Design:** Control organization – Hard\_wired control-microprogram control – control of processor unit - Microprogram sequencer, micro programmed CPU organization - horizontal and vertical micro instructions.

**Module 5**

**I/O organization:** accessing of I/O devices – interrupts, interrupt hardware -Direct memory access.

**Memory system:** basic concepts – semiconductor RAMs. memory system considerations – ROMs, Content addressable memory, cache memories - mapping functions.

### Text Books

1. Hamacher C., Z. Vranesic and S. Zaky, Computer Organization ,5/e, McGraw Hill, 2011
2. Mano M. M., Digital Logic & Computer Design, PHI, 2004
3. KaiHwang, Faye Alye Briggs, Computer architecture and parallel processing McGraw-Hill, 1984

### Reference Books

1. Mano M. M., Digital Logic & Computer Design, 3/e, Pearson Education, 2013.
2. Patterson D.A. and J. L. Hennessy, Computer Organization and Design, 5/e, Morgan Kaufmann Publishers, 2013.
3. William Stallings, Computer Organization and Architecture: Designing for Performance, Pearson, 9/e, 2013.
4. Chaudhuri P., Computer Organization and Design, 2/e, Prentice Hall, 2008.
5. Rajaraman V. and T. Radhakrishnan, Computer Organization and Architecture, Prentice Hall, 2011

### Sample Course Level Assessment Questions

**Course Outcome1(CO1):** Which are the registers involved in a memory access operation and how are they involved in it?

**Course Outcome 2(CO2):** Explain the steps taken by the system to handle a write miss condition inside the cache memory.

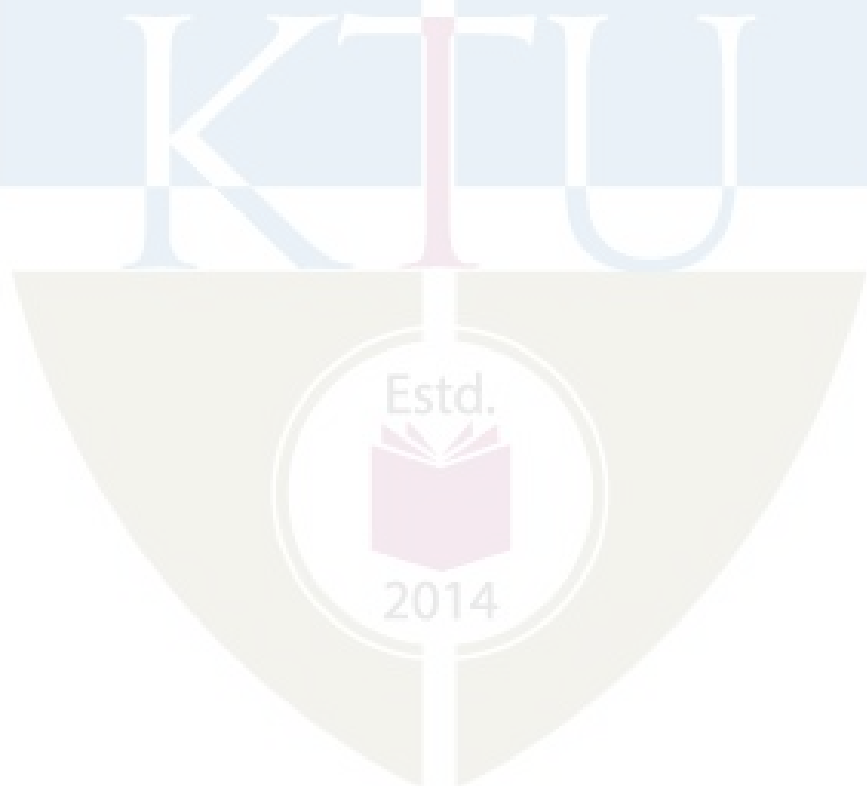
**Course Outcome 3(CO3):** Generate the sequence of control signals required for the execution of the instruction MOV [R1],R2 in a threebus organization.

**Course Outcome 4(CO4):** Design a 4-bit combinational logic shifter with 2 control signals H0 and H1 that perform the following operations :

| H1 | H0 | Operation                       |
|----|----|---------------------------------|
| 0  | 0  | Transfer 1's to all output line |
| 0  | 1  | No shift operation              |
| 1  | 0  | Shift left                      |
| 1  | 1  | Shift right                     |

**Course Outcome 5(CO5):** Explain the restoring algorithm for binary division. Also trace the algorithm to divide  $(1001)_2$  by  $(11)_2$

**Course Outcome 6(CO6):** Design a software control logic based on microprogramed control to perform the addition of 2 signed numbers represented in sign magnitude form.



**Model Question Paper**

QP CODE:

PAGES:2

Reg No: \_\_\_\_\_

Name: \_\_\_\_\_

**APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY**

**THIRD SEMESTER B.TECH DEGREE EXAMINATION, MONTH & YEAR**

**Course Code: CST 202**

**Course Name: Computer organisation and architecture**

**Max.Marks:100**

**Duration: 3 Hours**

**PART A**

**Answer all Questions. Each question carries 3 Marks**

1. Give the significance of instruction cycle.
2. Distinguish between big endian and little endian notations. Also give the significance of these notations.
3. Compare I/O mapped I/O and memory mapped I/O.
4. Give the importance of interrupts in I/O interconnection.
5. Justify the significance of status register.
6. How does the arithmetic circuitry perform logical operations in an ALU.
7. Illustrate divide overflow with an example.
8. Write notes on arithmetic pipeline.
9. Briefly explain the role of micro program sequence.
10. Differentiate between horizontal and vertical micro instructions.

**Part B**

**Answer any one Question from each module. Each question carries 14 Marks**

11.

11.(a) What is the significance of addressing modes in computer architecture.

(4)

11.(b) Write the control sequence for the instruction DIV R1,[R2] in a three bus structure. (10)

**OR**

12. Explain the concept of a single bus organization with help of a diagram. Write the control sequence for the instruction ADD [R1],[R2].

(14)

13. Explain various register transfer logics.

(14)

**OR**

14.

14.(a) Design a 4 bit combinational logic shifter with 2 control signals H1 and H2 that perform the following operations (bit values given in parenthesis are the values of control variable H1 and H2 respectively.) : Transfer of 0's to S (00), shift right (01), shift left (10), no shift (11).

(5)

14.(b) Design an ALU unit which will perform arithmetic and logic operation with a given binary adder.

(9)

15.

15.(a) Give the logic used behind Booth's multiplication algorithm.

(4)

15.(b) Identify the appropriate algorithm available inside the system to perform the multiplication between -14 and -9. Also trace the algorithm for the above input.

(10)

**OR**

16.

16.(a) List and explain the different pipeline hazards and their possible solutions

(10)

16.(b) Design a combinational circuit for  $3 \times 2$  multiplication.

(4)

17. Design a hardware control unit used to perform addition/subtraction of 2 numbers represented in sign magnitude form.

(14)

**OR**

18. Give the structure of the micro program sequencer and its role in sequencing the micro instructions.

(14)

19.

19.(a) Explain the different ways in which interrupt priority schemes can be implemented

(10)

19.(b) Give the structure of SRAM cell.

(4)

**OR**

20.

20.(a) Explain the various mapping functions available in cache memory.

(9)

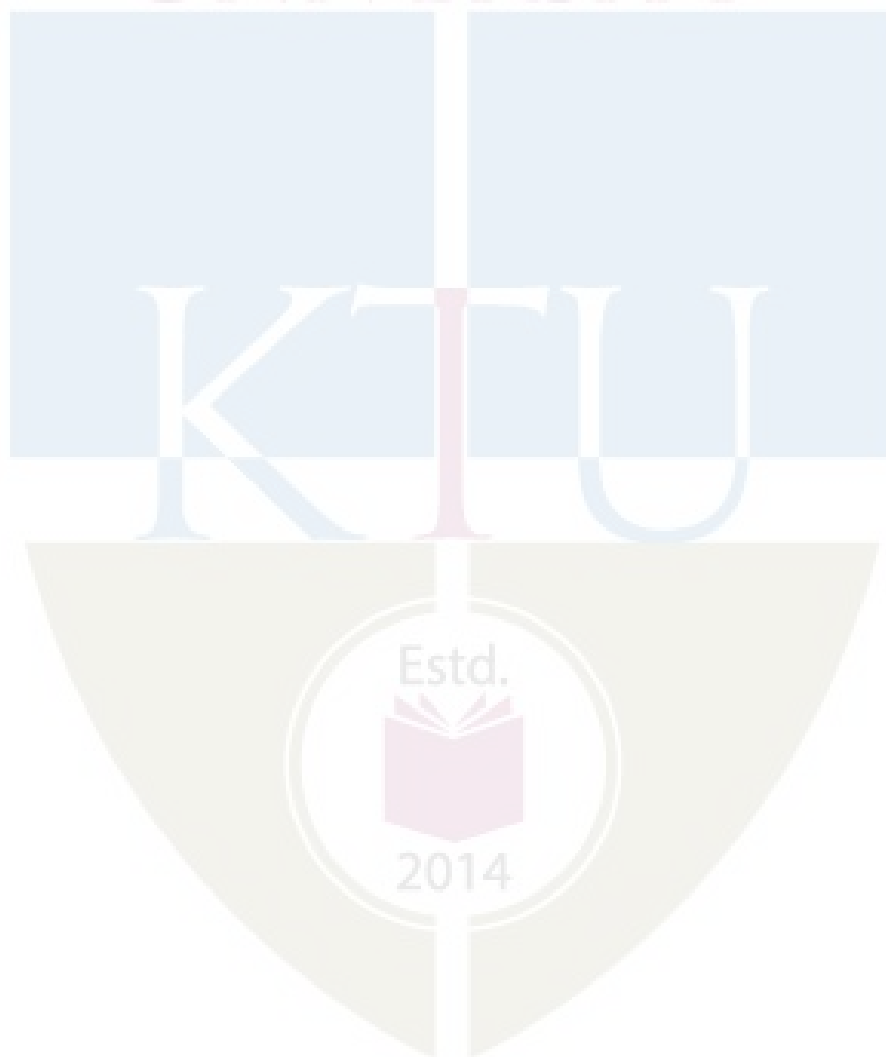
20.(b) Briefly explain content addressable memory.

(5)

| <b>TEACHING PLAN</b>                                                             |                                                                             |                          |
|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------|--------------------------|
| <b>No</b>                                                                        | <b>Contents</b>                                                             | <b>No of Lecture Hrs</b> |
| <b>Module 1 : (Basic Structure of computers) (9 hours)</b>                       |                                                                             |                          |
| 1.1                                                                              | Functional units, basic operational concepts, bus structures (introduction) | 1                        |
| 1.2                                                                              | Memory locations and addresses , memory operations                          | 1                        |
| 1.3                                                                              | Instructions and instruction sequencing                                     | 1                        |
| 1.4                                                                              | Addressing modes                                                            | 1                        |
| 1.5                                                                              | Fundamental concepts of instruction execution, instruction cycle            | 1                        |
| 1.6                                                                              | Execution of a complete instruction - single bus organization (Lecture 1)   | 1                        |
| 1.7                                                                              | Execution of a complete instruction - single bus organization (Lecture 2)   | 1                        |
| 1.8                                                                              | Execution of a complete instruction - multiple bus organization (Lecture 1) | 1                        |
| 1.9                                                                              | Execution of a complete instruction - multiple bus organization (Lecture 2) | 1                        |
| <b>Module 2 :(Register transfer logic and Processor logic design) (10 hours)</b> |                                                                             |                          |
| 2.1                                                                              | Inter register transfer – arithmetic micro operations                       | 1                        |
| 2.2                                                                              | Inter register transfer – logic and shift micro operations                  | 1                        |
| 2.3                                                                              | Processor organization                                                      | 1                        |
| 2.4                                                                              | Design of arithmetic circuit                                                | 1                        |
| 2.5                                                                              | Design of logic circuit                                                     | 1                        |
| 2.6                                                                              | Design of arithmetic logic unit                                             | 1                        |
| 2.7                                                                              | Design of status register                                                   | 1                        |
| 2.8                                                                              | Design of shifter - processor unit                                          | 1                        |

|                                                                      |                                                                           |   |
|----------------------------------------------------------------------|---------------------------------------------------------------------------|---|
| 2.9                                                                  | Design of accumulator (Lecture 1)                                         | 1 |
| 2.10                                                                 | Design of accumulator (Lecture 2)                                         | 1 |
| <b>Module 3 : (Arithmetic algorithms and Pipelining) (9 hours)</b>   |                                                                           |   |
| 3.1                                                                  | Algorithm for multiplication of binary numbers                            | 1 |
| 3.2                                                                  | Algorithm for division (restoring method) of binary numbers               | 1 |
| 3.3                                                                  | Array multiplier                                                          | 1 |
| 3.4                                                                  | Booth's multiplication algorithm                                          | 1 |
| 3.5                                                                  | Pipelining: Basic principles                                              | 1 |
| 3.6                                                                  | Classification of pipeline processors (Lecture 1)                         | 1 |
| 3.7                                                                  | Classification of pipeline processors (Lecture 2)                         | 1 |
| 3.8                                                                  | Instruction and arithmetic pipelines (Design examples not required)       | 1 |
| 3.9                                                                  | Hazard detection and resolution                                           | 1 |
| <b>Module 4 :( Control Logic Design) (9 hours)</b>                   |                                                                           |   |
| 4.1                                                                  | Control organization –design of hardwired control logic (Lecture 1)       | 1 |
| 4.2                                                                  | Control organization –design of hardwired control logic (Lecture 2)       | 1 |
| 4.3                                                                  | Control organization –design of hardwired control logic (Lecture 3)       | 1 |
| 4.4                                                                  | Design of microprogram control logic–control of processor unit (Lecture1) | 1 |
| 4.5                                                                  | Design of microprogram control logic–control of processor unit (Lecture2) | 1 |
| 4.6                                                                  | Design of microprogram control logic–control of processor unit (Lecture3) | 1 |
| 4.7                                                                  | Microprogram sequencer                                                    | 1 |
| 4.8                                                                  | Micro programmed CPU organization                                         | 1 |
| 4.9                                                                  | Microinstructions –horizontal and vertical micro instructions             | 1 |
| <b>Module 5 : (Basic processing units, I/O and memory) (8 hours)</b> |                                                                           |   |
| 5.1                                                                  | Accessing of I/O devices –interrupts                                      | 1 |
| 5.2                                                                  | Interrupt hardware                                                        | 1 |

|     |                                                   |   |
|-----|---------------------------------------------------|---|
| 5.3 | Direct memory access                              | 1 |
| 5.4 | Memory system: basic concepts –semiconductor RAMs | 1 |
| 5.5 | Memory system considerations – ROMs               | 1 |
| 5.6 | Content addressable memory                        | 1 |
| 5.7 | Cache memories -mapping functions (Lecture 1)     | 1 |
| 5.8 | Cache memories -mapping functions (Lecture 2)     | 1 |



| CST<br>204 | DATABASE<br>MANAGEMENT<br>SYSTEMS | CATEGORY | L | T | P | CREDIT | YEAR OF<br>INTRODUCTION |
|------------|-----------------------------------|----------|---|---|---|--------|-------------------------|
|            |                                   | PCC      | 3 | 1 | 0 | 4      | 2019                    |

**Preamble:** This course provides a clear understanding of fundamental principles of Database Management Systems (DBMS) with special focus on relational databases to the learners. The topics covered in this course are basic concepts of DBMS, Entity Relationship (ER) model, Relational Database principles, Relational Algebra, Structured Query Language (SQL), Physical Data Organization, Normalization and Transaction Processing Concepts. The course also gives a glimpse of the alternative data management model, NoSQL. This course helps the learners to manage data efficiently by identifying suitable structures to maintain data assets of organizations and to develop applications that utilize database technologies.

**Prerequisite:** Topics covered under the course Data Structures (CST 201), Exposure to a High Level Language like C/python.

**Course Outcomes:** After the completion of the course the student will be able to

|     |                                                                                                                                              |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------|
| CO1 | Summarize and exemplify fundamental nature and characteristics of database systems <b>(Cognitive Knowledge Level: Understand)</b>            |
| CO2 | Model real word scenarios given as informal descriptions, using Entity Relationship diagrams. <b>(Cognitive Knowledge Level: Apply)</b>      |
| CO3 | Model and design solutions for efficiently representing and querying data using relational model <b>(Cognitive Knowledge Level: Analyze)</b> |
| CO4 | Demonstrate the features of indexing and hashing in database applications <b>(Cognitive Knowledge Level: Apply)</b>                          |
| CO5 | Discuss and compare the aspects of Concurrency Control and Recovery in Database systems <b>(Cognitive Knowledge Level: Apply)</b>            |
| CO6 | Explain various types of NoSQL databases <b>(Cognitive Knowledge Level: Understand)</b>                                                      |

**Mapping of course outcomes with program outcomes**

|     | PO1 | PO2 | PO3 | PO4 | PO5 | PO6 | PO7 | PO8 | PO9 | PO10 | PO11 | PO12 |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|------|
| CO1 | ✓   | ✓   | ✓   |     |     |     |     |     |     |      |      | ✓    |
| CO2 | ✓   | ✓   | ✓   | ✓   |     |     |     |     |     |      |      | ✓    |
| CO3 | ✓   | ✓   | ✓   | ✓   |     |     |     |     |     |      |      | ✓    |
| CO4 | ✓   | ✓   | ✓   |     |     |     |     |     |     | ✓    |      | ✓    |
| CO5 | ✓   | ✓   | ✓   |     |     |     |     |     |     | ✓    |      | ✓    |
| CO6 | ✓   | ✓   | ✓   |     | ✓   |     |     |     |     | ✓    |      | ✓    |

| Abstract POs defined by National Board of Accreditation |                                            |      |                                |
|---------------------------------------------------------|--------------------------------------------|------|--------------------------------|
| PO#                                                     | Broad PO                                   | PO#  | Broad PO                       |
| PO1                                                     | Engineering Knowledge                      | PO7  | Environment and Sustainability |
| PO2                                                     | Problem Analysis                           | PO8  | Ethics                         |
| PO3                                                     | Design/Development of solutions            | PO9  | Individual and team work       |
| PO4                                                     | Conduct investigations of complex problems | PO10 | Communication                  |
| PO5                                                     | Modern tool usage                          | PO11 | Project Management and Finance |
| PO6                                                     | The Engineer and Society                   | PO12 | Life long learning             |

**Assessment Pattern**

| Bloom's Category | Continuous Assessment Tests |           | End Semester Examination Marks (%) |
|------------------|-----------------------------|-----------|------------------------------------|
|                  | Test1 (%)                   | Test2 (%) |                                    |
| Remember         | 30                          | 30        | 30                                 |
| Understand       | 40                          | 40        | 40                                 |
| Apply            | 30                          | 30        | 30                                 |

|          |  |  |  |
|----------|--|--|--|
| Analyze  |  |  |  |
| Evaluate |  |  |  |
| Create   |  |  |  |

**Mark Distribution**

| Total Marks | CIE Marks | ESE Marks | ESE Duration |
|-------------|-----------|-----------|--------------|
| 150         | 50        | 100       | 3 hours      |

**Continuous Internal Evaluation Pattern:**

Attendance : 10 marks

Continuous Assessment Tests : 25 marks

Continuous Assessment Assignment : 15 marks

**Internal Examination Pattern:**

Each of the two internal examinations has to be conducted out of 50 marks

First Internal Examination shall be preferably conducted after completing the first half of the syllabus and the Second Internal Examination shall be preferably conducted after completing remaining part of the syllabus.

There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly covered module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly covered module), each with 7 marks. Out of the 7 questions in Part B, a student should answer any 5.

**End Semester Examination Pattern:**

There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which a student should answer any one. Each question can have maximum 2 sub-divisions and carries 14 marks.

**Syllabus****Module 1: Introduction & Entity Relationship (ER) Model**

Concept & Overview of Database Management Systems (DBMS) - Characteristics of Database system, Database Users, structured, semi-structured and unstructured data. Data Models and Schema - Three Schema architecture. Database Languages, Database architectures and classification.

ER model - Basic concepts, entity set & attributes, notations, Relationships and constraints, cardinality, participation, notations, weak entities, relationships of degree 3.

**Module 2: Relational Model**

Structure of Relational Databases - Integrity Constraints, Synthesizing ER diagram to relational schema

Introduction to Relational Algebra - select, project, cartesian product operations, join - Equi-join, natural join. query examples, introduction to Structured Query Language (SQL), Data Definition Language (DDL), Table definitions and operations – CREATE, DROP, ALTER, INSERT, DELETE, UPDATE.

**Module 3: SQL DML (Data Manipulation Language), Physical Data Organization**

SQL DML (Data Manipulation Language) - SQL queries on single and multiple tables, Nested queries (correlated and non-correlated), Aggregation and grouping, Views, assertions, Triggers, SQL data types.

Physical Data Organization - Review of terms: physical and logical records, blocking factor, pinned and unpinned organization. Heap files, Indexing, Single level indices, numerical examples, Multi-level-indices, numerical examples, B-Trees & B+-Trees (structure only, algorithms not required), Extendible Hashing, Indexing on multiple keys – grid files.

### Module 4: Normalization

Different anomalies in designing a database, The idea of normalization, Functional dependency, Armstrong's Axioms (proofs not required), Closures and their computation, Equivalence of Functional Dependencies (FD), Minimal Cover (proofs not required). First Normal Form (1NF), Second Normal Form (2NF), Third Normal Form (3NF), Boyce Codd Normal Form (BCNF), Lossless join and dependency preserving decomposition, Algorithms for checking Lossless Join (LJ) and Dependency Preserving (DP) properties.

### Module 5: Transactions, Concurrency and Recovery, Recent Topics

Transaction Processing Concepts - overview of concurrency control, Transaction Model, Significance of concurrency Control & Recovery, Transaction States, System Log, Desirable Properties of transactions.

Serial schedules, Concurrent and Serializable Schedules, Conflict equivalence and conflict serializability, Recoverable and cascade-less schedules, Locking, Two-phase locking and its variations. Log-based recovery, Deferred database modification, check-pointing.

Introduction to NoSQL Databases, Main characteristics of Key-value DB (examples from: Redis), Document DB (examples from: MongoDB)

Main characteristics of Column - Family DB (examples from: Cassandra) and Graph DB (examples from : ArangoDB)

### Text Books

1. Elmasri R. and S. Navathe, Database Systems: Models, Languages, Design and Application Programming, Pearson Education, 2013.
2. Sliberschatz A., H. F. Korth and S. Sudarshan, Database System Concepts, 6/e, McGraw Hill, 2011.

### Reference Books:

1. Adam Fowler, NoSQL for Dummies, John Wiley & Sons, 2015
2. NoSQL Data Models: Trends and Challenges (Computer Engineering: Databases and Big Data), Wiley, 2018
3. Web Resource: <https://www.w3resource.com/redis/>
4. web Resource: <https://www.w3schools.in/category/mongodb/>
5. Web Resource: [https://www.tutorialspoint.com/cassandra/cassandra\\_introduction.htm](https://www.tutorialspoint.com/cassandra/cassandra_introduction.htm)
6. Web Resource : <https://www.tutorialspoint.com/arangoDB/index.htm>

## Sample Course Level Assessment Questions

### Course Outcome1 (CO1):

1. List out any three salient features of database systems, which distinguish it from a file system.
2. Give one example each for logical and physical data independence.

### Course Outcome 2(CO2):

1. What facts about the relationships between entities EMPLOYEE and PROJECT are conveyed by the following ER diagram?



1. Design an ER diagram for the following scenario:  
There is a set of teams, each team has an ID (unique identifier), name, main stadium, and to which city this team belongs. Each team has many players, and each player belongs to one team. Each player has a number (unique identifier), name, DoB, start year, and shirt number that he uses. Teams play matches, in each match there is a host team and a guest team.

### Course Outcome 3(CO3):

1. For the SQL query, `SELECT A, B FROM R WHERE B = 'apple' AND C = 'orange'` on the table `R(A, B, C, D)`, where `A` is a key, write any three equivalent relational algebra expressions.
2. Given the FDs  $P \rightarrow Q$ ,  $P \rightarrow R$ ,  $QR \rightarrow S$ ,  $Q \rightarrow T$ ,  $QR \rightarrow U$ ,  $PR \rightarrow U$ , write the sequence of *Armstrong's Axioms* needed to arrive at the following FDs: (a)  $P \rightarrow T$  (b)  $PR \rightarrow S$  (c)  $QR \rightarrow SU$
3. Consider a relation `PLAYER (PLAYER-NO, PLAYER-NAME, PLAYER-POSN, TEAM, TEAM-COLOR, COACH-NO, COACH-NAME, TEAM-CAPTAIN)`. Assume that `PLAYER-NO` is the *only* key of the relation and that the following dependencies hold:
 

$TEAM \rightarrow \{TEAM-COLOR, COACH-NO, TEAM-CAPTAIN\}$   
 $COACH-NO \rightarrow COACH-NAME$

  - i. Is the relation in 2NF? If not, decompose to 2NF.
  - ii. Is the relation in 3NF? If not, decompose to 3NF.

4. In the following tables foreign keys have the same name as primary keys except DIRECTED-BY, which refers to the primary key ARTIST-ID. Consider only *single-director* movies.

MOVIES(MOVIE-ID, MNAME, GENRE, LENGTH, DIRECTED-BY)

ARTIST(ARTIST-ID, ANAME)

ACTING(ARTIST-ID, MOVIE-ID)

Write SQL expressions for the following queries:

- Name(s) and director name(s) of movie(s) acted by 'Jenny'.
- Names of actors who have never acted with 'Rony'
- Count of movies genre-wise.
- Name(s) of movies with maximum length.

#### Course Outcome 4(CO4):

- Consider an EMPLOYEE file with 10000 records where each record is of size 80 bytes. The file is sorted on employee number (15 bytes long), which is the primary key. Assuming un-spanned organization, block size of 512 bytes and block pointer size of 5 bytes. Compute the number of block accesses needed for retrieving an employee record based on employee number if (i) No index is used (ii) Multi-level primary index is used.

#### Course Outcome 5(CO5):

- Determine if the following schedule is *recoverable*. Is the schedule *cascade-less*? Justify your answer.  $r1(X)$ ,  $r2(Z)$ ,  $r1(Z)$ ,  $r3(X)$ ,  $r3(Y)$ ,  $w1(X)$ ,  $c1$ ,  $w3(Y)$ ,  $c3$ ,  $r2(Y)$ ,  $w2(Z)$ ,  $w2(Y)$ ,  $c2$ . (Note:  $ri(X)/wi(X)$  means transaction  $T_i$  issues read/write on item X;  $ci$  means transaction  $T_i$  commits.)
- Two-phase locking protocol ensures serializability. Justify.

#### Course Outcome 6(CO6):

- List out any three salient features of NoSQL databases. Give example of a document in MongoDB.

**Model Question paper**

**QPCODE**

**Reg No:** \_\_\_\_\_

**Name:** \_\_\_\_\_

**APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY**

**FOURTH SEMESTER B.TECH DEGREE EXAMINATION, MONTH & YEAR**

**Course Code: CST 204**

**Course Name: Database Management Systems**

**Max.Marks:100**

**Duration: 3 Hours**

**PART A**

**Answer all Questions. Each question carries 3 Marks**

- 1 List out any three salient features of a database systems.
- 2 When is multi-valued composite attribute used in ER modelling?
- 3 For the SQL query, *SELECT A, B FROM R WHERE B='apple' AND C = 'orange'* on the table *R(A, B, C, D)*, where A is a key, write any two equivalent relational algebra expressions.
- 4 Outline the concept of *theta*-join.
- 5 How is the purpose of *where* clause is different from that of having clause?
- 6 What is the use of a trigger?
- 7 When do you say that a relation is not in 1NF?
- 8 Given the FDs  $P \rightarrow Q$ ,  $P \rightarrow R$ ,  $QR \rightarrow S$ ,  $Q \rightarrow T$ ,  $QR \rightarrow U$ ,  $PR \rightarrow U$ , write the sequence of Armstrong's Axioms needed to arrive at a.  $P \rightarrow T$  b.  $PR \rightarrow S$
- 9 What is meant by the lost update problem?
- 10 What is meant by check pointing?

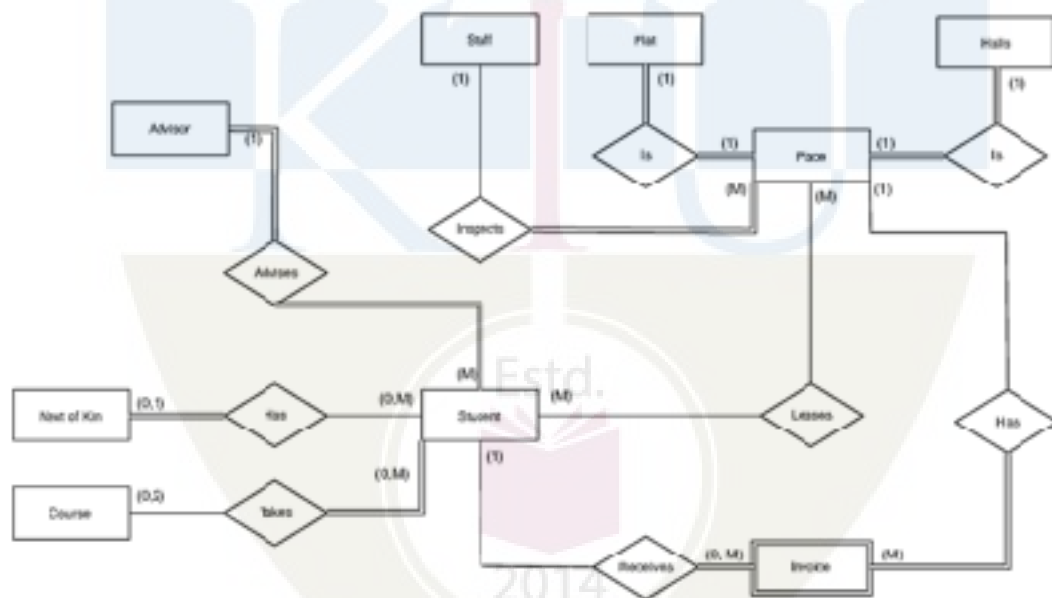
**PART B**

**Answer any one Question from each module. Each question carries 14 Marks**

- 11 a. Design an ER diagram for the following scenario: There is a set of teams, each team has an ID (unique identifier), name, main stadium, and to which city this team belongs. Each team has many players, and each player belongs to one team. Each player has a number (unique identifier), name, DoB, start year, and shirt number that he uses. Teams play matches, in each match there is a host team and a guest team. The match takes place in the stadium of the host team. For each match we need to keep track of the following: The date on which the game is played The final result of the match. The players participated in the match. For each player, how many goals he scored, whether or not he took yellow card, and whether or not he took red card. During the match, one player may substitute another player. We want to capture this substitution and the time at which it took place. Each match has exactly three referees. For each referee we have an ID (unique identifier), name, DoB, years of experience. One referee is the main referee and the other two are assistant referee. (14)

**OR**

- 12 a. Interpret the the following ER diagram.



- b. Distinguish between physical data independence and logical data independence (6) with suitable examples.

- 13 **EMPLOYEE**(ENO, NAME, ADDRESS, DOB, AGE, GENDER, SALARY, DNUM, SUPERENO) (14)  
**DEPARTMENT**(DNO, DNAME, DLOCATION, DPHONE, MGRENO)  
**PROJECT**(PNO, PNAME, PLOCATION, PCOST, CDNO)

DNUM is a foreign key that identifies the department to which an employee belongs. MGRENO is a foreign key identifying the employee who manages the department. CDNO is a foreign key identifying the department that controls the project. SUPERENO is a foreign key identifying the supervisor of each employee.

Write relational algebra expressions for the following queries:-

- Names of female employees whose salary is more than 20000.
- Salaries of employee from 'Accounts' department
- Names of employees along with his/her supervisor's name
- For each employee return name of the employee along with his department name and the names of projects in which he/she works
- Names of employees working in all the departments

**OR**

- 14 a. Write SQL DDL statements for the the following (Assume suitable domain types): (10)
- Create the tables STUDENT(ROLLNO, NAME, CLASS, SEM, ADVISER), FACULTY(FID, NAME, SALARY, DEPT). Assume that ADVISER is a foreign key referring FACUTY table.
  - Delete department with name 'CS' and all employees of the department.
  - Increment salary of every faculty by 10%.
- b. Illustrate foreign key constraint with a typical example. (4)

- 15 For the relation schema below, give an expression in SQL for each of the queries (14) that follows:

**employee**(employee-name, street, city)  
**works**(employee-name, company-name, salary)  
**company**(company-name, city)  
**manages**(employee-name, manager-name)

- Find the names, street address, and cities of residence for all employees who work for the Company 'RIL Inc.' and earn more than \$10,000.
- Find the names of all employees who live in the same cities as the companies for which they work.
- Find the names of all employees who do not work for 'KYS Inc.'. Assume that all people work for exactly one company.
- Find the names of all employees who earn more than every employee of 'SB Corporation'. Assume that all people work for at most one company.
- List out number of employees company-wise in the decreasing order of number of employees.

**OR**

- 16 a. Consider an EMPLOYEE file with 10000 records where each record is of size 80 bytes. The file is sorted on employee number (15 bytes long), which is the primary key. Assuming un-spanned organization and block size of 512 bytes compute the number of block accesses needed for selecting records based on employee number if, (9)
- No index is used
  - Single level primary index is used
  - Multi-level primary index is used
- Assume a block pointer size of 6 bytes.
- b. Illustrate correlated and non-correlated nested queries with real examples. (5)
- 17 a. Illustrate 3NF and BCNF with suitable real examples. (6)
- b. Given a relation  $R(A_1, A_2, A_3, A_4, A_5)$  with functional dependencies  $A_1 \rightarrow A_2, A_4$  and  $A_4 \rightarrow A_5$ , check if the decomposition  $R_1(A_1, A_2, A_3)$ ,  $R_2(A_1, A_4)$ ,  $R_3(A_2, A_4, A_5)$  is lossless. (8)

**OR**

- 18 a. Consider the un-normalized relation  $R(A, B, C, D, E, F, G)$  with the FDs  $A \rightarrow B$ ,  $AC \rightarrow G$ ,  $AD \rightarrow EF$ ,  $EF \rightarrow G$ ,  $CDE \rightarrow AB$ . Trace the normalization process to reach 3NF relations. (7)

- b. Illustrate Lossless Join Decomposition and Dependency Preserving Decomposition with typical examples. (7)

19 a. Discuss the four ACID properties and their importance. (7)

- b. Determine if the following schedule is conflict serializable. Is the schedule recoverable? Is the schedule cascade-less? Justify your answers. (7)

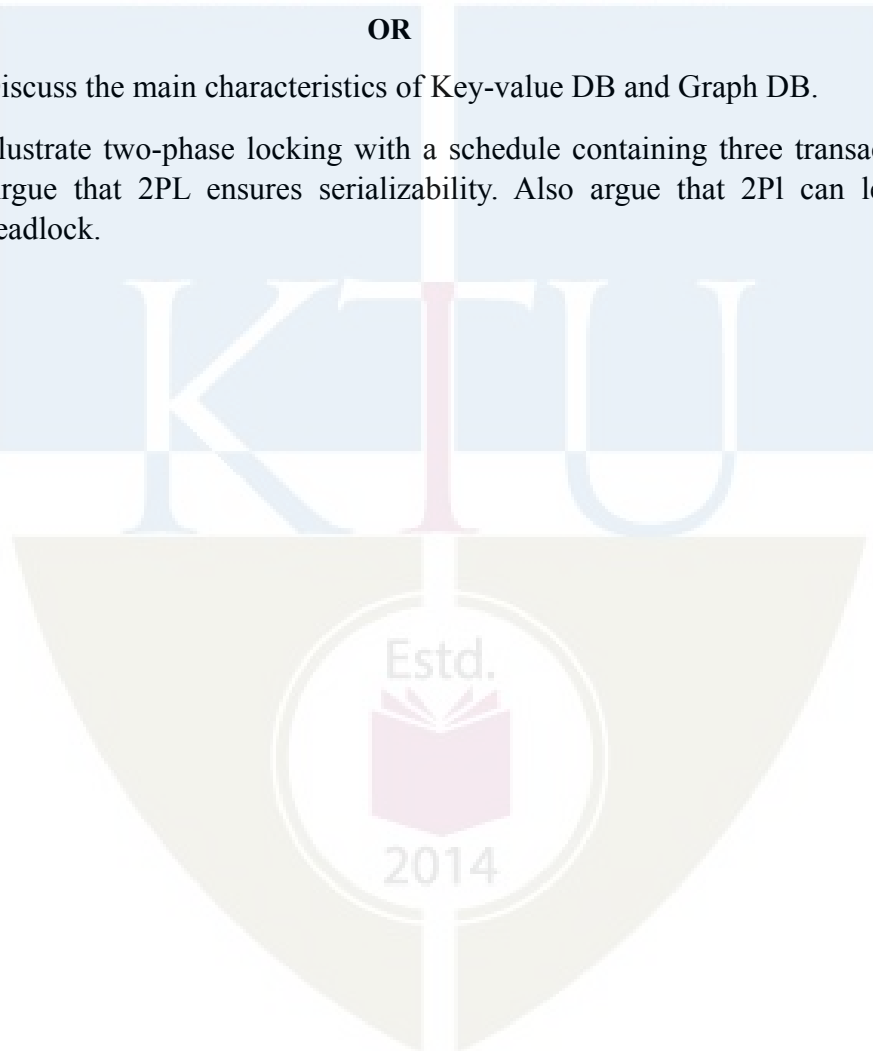
$r_1(X), r_2(Z), r_1(Z), r_3(X), r_3(Y), w_1(X), c_1, w_3(Y), c_3, r_2(Y), w_2(Z), w_2(Y), c_2$

(Note:  $r_i(X)/w_i(X)$  means transaction  $T_i$  issues read/write on item  $X$ ;  $c_i$  means transaction  $T_i$  commits.)

OR

20 a. Discuss the main characteristics of Key-value DB and Graph DB. (7)

- b. Illustrate two-phase locking with a schedule containing three transactions. Argue that 2PL ensures serializability. Also argue that 2PL can lead to deadlock. (7)



## Teaching Plan

|     | Course Name                                                                         | Hours<br>(48) |
|-----|-------------------------------------------------------------------------------------|---------------|
|     | <b>Module 1: Introduction &amp; ER Model</b>                                        | <b>8</b>      |
| 1.1 | Concept & Overview of DBMS, Characteristics of DB system, Database Users.           | 1             |
| 1.2 | Structured, semi-structured and unstructured data. Data Models and Schema           | 1             |
| 1.3 | Three-Schema-architecture. Database Languages                                       | 1             |
| 1.4 | Database architectures and classification                                           | 1             |
| 1.5 | ER model: basic concepts, entity set & attributes, notations                        | 1             |
| 1.6 | Relationships and constraints – cardinality, participation, notations               | 1             |
| 1.7 | Weak entities, relationships of degree 3                                            | 1             |
| 1.8 | ER diagram – exercises                                                              | 1             |
|     | <b>Module 2: Relational Model</b>                                                   | <b>7</b>      |
| 2.1 | Structure of relational Databases, Integrity Constraints                            | 1             |
| 2.2 | Synthesizing ER diagram to relational schema, Introduction to relational algebra.   | 1             |
| 2.3 | Relational algebra: select, project, Cartesian product operations                   | 1             |
| 2.4 | Relational Algebra: join - Equi-join, Natural join                                  | 1             |
| 2.5 | Query examples                                                                      | 1             |
| 2.6 | Introduction to SQL, important data types                                           | 1             |
| 2.7 | DDL, Table definitions and operations – CREATE, DROP, ALTER, INSERT, DELETE, UPDATE | 1             |
|     | <b>Module 3: SQL DML, Physical Data Organization</b>                                | <b>11</b>     |
| 3.1 | SQL DML, SQL queries on single and multiple tables                                  | 1             |
| 3.2 | Nested queries (correlated and non-correlated)                                      | 1             |
| 3.3 | Aggregation and grouping                                                            | 1             |

|      | Course Name                                                                                                            | Hours (48) |
|------|------------------------------------------------------------------------------------------------------------------------|------------|
| 3.4  | Views, assertions (with examples)                                                                                      | 1          |
| 3.5  | Triggers (with examples), SQL data types                                                                               | 1          |
| 3.6  | Review of terms: physical and logical records, blocking factor, pinned and unpinned organization. Heap files, Indexing | 1          |
| 3.7  | Singe level indices, numerical examples                                                                                | 1          |
| 3.8  | Multi-level-indices, numerical examples                                                                                | 1          |
| 3.9  | B-Trees and B+Trees (structure only, algorithms not required)                                                          | 1          |
| 3.10 | Extendible Hashing                                                                                                     | 1          |
| 3.11 | Indexing on multiple keys – grid files                                                                                 | 1          |
|      | <b>Module 4: Normalization</b>                                                                                         | <b>8</b>   |
| 4.1  | Different anomalies in designing a database, The idea of normalization                                                 | 1          |
| 4.2  | Functional dependency, Armstrong's Axioms (proofs not required)                                                        | 1          |
| 4.3  | Closures and their computation, Equivalence of FDs, minimal Cover (proofs not required).                               | 1          |
| 4.4  | 1NF, 2NF                                                                                                               | 1          |
| 4.5  | 3NF, BCNF                                                                                                              | 1          |
| 4.6  | Lossless join and dependency preserving decomposition                                                                  | 1          |
| 4.7  | Algorithms for checking Lossless Join and Dependency preserving properties (Lecture 1)                                 | 1          |
| 4.8  | Algorithms for checking Lossless Join and Dependency preserving properties (Lecture 2)                                 | 1          |
|      | <b>Module 5: Transactions, Concurrency and Recovery, Recent Topics</b>                                                 | <b>14</b>  |
| 5.1  | Transaction Processing Concepts: Transaction Model                                                                     | 1          |
| 5.2  | Overview of concurrency control, Significance of concurrency Control & Recovery                                        | 1          |
| 5.3  | Transaction States, System Log                                                                                         | 1          |

|      | Course Name                                                                                                                               | Hours (48) |
|------|-------------------------------------------------------------------------------------------------------------------------------------------|------------|
| 5.4  | Desirable Properties of transactions, Serial schedules                                                                                    | 1          |
| 5.5  | Concurrent and Serializable Schedules                                                                                                     | 1          |
| 5.6  | Conflict equivalence and conflict serializability                                                                                         | 1          |
| 5.7  | Recoverable and cascade-less schedules                                                                                                    | 1          |
| 5.8  | Locking, Two-phase locking, strict 2PL.                                                                                                   | 1          |
| 5.9  | Log-based recovery                                                                                                                        | 1          |
| 5.10 | Deferred database modification (serial schedule), example                                                                                 | 1          |
| 5.11 | Deferred database modification (concurrent schedule) example, check-pointing                                                              | 1          |
| 5.12 | Introduction to NoSQL Databases                                                                                                           | 1          |
| 5.13 | Main characteristics of Key-value DB (examples from: Redis), Document DB (examples from: MongoDB) [detailed study not expected]           | 1          |
| 5.14 | Main characteristics of Column-Family DB (examples from: Cassandra) and Graph DB (examples from : ArangoDB) [detailed study not expected] | 1          |

Estd.



2014

| CST<br>206 | OPERATING<br>SYSTEMS | Category | L | T | P | Credit | Year of<br>Introduction |
|------------|----------------------|----------|---|---|---|--------|-------------------------|
|            |                      | PCC      | 3 | 1 | 0 | 4      | 2019                    |

**Preamble:** Study of operating system is an essential to understand the overall working of computer system, tradeoffs between performance and functionality and the division of jobs between hardware and software. This course introduces the concepts of memory management, device management, process management, file management and security & protection mechanisms available in an operating system. The course helps the learner to understand the fundamentals about any operating system design so that they can extend the features of operating system to detect and solve many problems occurring in operating system and to manage the computer resources appropriately.

**Prerequisite:** Topics covered in the courses are **Data Structures (CST 201)** and **Programming in C (EST 102)**

**Course Outcomes:** After the completion of the course the student will be able to

|     |                                                                                                                                                                                              |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CO1 | Explain the relevance, structure and functions of Operating Systems in computing devices. ( <b>Cognitive knowledge: Understand</b> )                                                         |
| CO2 | Illustrate the concepts of process management and process scheduling mechanisms employed in Operating Systems. ( <b>Cognitive knowledge: Understand</b> )                                    |
| CO3 | Explain process synchronization in Operating Systems and illustrate process synchronization mechanisms using Mutex Locks, Semaphores and Monitors ( <b>Cognitive knowledge: Understand</b> ) |
| CO4 | Explain any one method for detection, prevention, avoidance and recovery for managing deadlocks in Operating Systems. ( <b>Cognitive knowledge: Understand</b> )                             |
| CO5 | Explain the memory management algorithms in Operating Systems. ( <b>Cognitive knowledge: Understand</b> )                                                                                    |
| CO6 | Explain the security aspects and algorithms for file and storage management in Operating Systems. ( <b>Cognitive knowledge: Understand</b> )                                                 |

## Mapping of course outcomes with program outcomes

|     | PO1 | PO2 | PO3 | PO4 | PO5 | PO6 | PO7 | PO8 | PO9 | PO10 | PO11 | PO12 |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|------|
| CO1 | ✓   | ✓   | ✓   |     |     |     |     |     |     | ✓    |      | ✓    |
| CO2 | ✓   | ✓   | ✓   | ✓   |     |     |     |     |     | ✓    |      | ✓    |
| CO3 | ✓   | ✓   | ✓   | ✓   |     |     |     |     |     | ✓    |      | ✓    |
| CO4 | ✓   | ✓   | ✓   | ✓   |     |     |     |     |     | ✓    |      | ✓    |
| CO5 | ✓   | ✓   | ✓   | ✓   |     |     |     |     |     | ✓    |      | ✓    |
| CO6 | ✓   | ✓   | ✓   | ✓   |     |     |     |     |     | ✓    |      | ✓    |

| Abstract POs defined by National Board of Accreditation |                                            |      |                                |
|---------------------------------------------------------|--------------------------------------------|------|--------------------------------|
| PO#                                                     | Broad PO                                   | PO#  | Broad PO                       |
| PO1                                                     | Engineering Knowledge                      | PO7  | Environment and Sustainability |
| PO2                                                     | Problem Analysis                           | PO8  | Ethics                         |
| PO3                                                     | Design/Development of solutions            | PO9  | Individual and team work       |
| PO4                                                     | Conduct investigations of complex problems | PO10 | Communication                  |
| PO5                                                     | Modern tool usage                          | PO11 | Project Management and Finance |
| PO6                                                     | The Engineer and Society                   | PO12 | Life long learning             |

## Assessment Pattern

| Bloom's Category | Test 1 (Marks in percentage) | Test 2 (Marks in percentage) | End Semester Examination (Marks in percentage) |
|------------------|------------------------------|------------------------------|------------------------------------------------|
| Remember         | 30                           | 30                           | 30                                             |
| Understand       | 30                           | 30                           | 30                                             |
| Apply            | 40                           | 40                           | 40                                             |
| Analyse          |                              |                              |                                                |
| Evaluate         |                              |                              |                                                |
| Create           |                              |                              |                                                |

**Mark Distribution**

| Total Marks | CIE Marks | ESE Marks | ESE Duration |
|-------------|-----------|-----------|--------------|
| 150         | 50        | 100       | 3            |

**Continuous Internal Evaluation Pattern:**

Attendance : 10 marks

Continuous Assessment Test : 25 marks

Continuous Assessment Assignment : 15 marks

**Internal Examination Pattern:**

Each of the two internal examinations has to be conducted out of 50 marks. First series test shall be preferably conducted after completing the first half of the syllabus and the second series test shall be preferably conducted after completing remaining part of the syllabus. There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly completed module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly completed module), each with 7 marks. Out of the 7 questions, a student should answer any 5.

**End Semester Examination Pattern:**

There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which a student should answer any one. Each question can have maximum 2 sub-divisions and carries 14 marks.

**Syllabus****Module I**

**Introduction:** Operating system overview – Operations, Functions, Service – System calls, Types – Operating System structure - Simple structure, Layered approach, Microkernel, Modules – System boot process.

**Module II**

**Processes** - Process states, Process control block, threads, scheduling, Operations on processes - process creation and termination – Inter-process communication - shared memory systems, Message passing systems.

**Process Scheduling** – Basic concepts- Scheduling criteria -scheduling algorithms- First come First Served, Shortest Job First, Priority scheduling, Round robin scheduling

### Module III

**Process synchronization**- Race conditions – Critical section problem – Peterson's solution, Synchronization hardware, Mutex Locks, Semaphores, Monitors – Synchronization problems - Producer Consumer, Dining Philosophers and Readers-Writers.

**Deadlocks:** Necessary conditions, Resource allocation graphs, Deadlock prevention, Deadlock avoidance – Banker's algorithms, Deadlock detection, Recovery from deadlock.

### Module IV

**Memory Management:** Concept of address spaces, Swapping, Contiguous memory allocation, fixed and variable partitions, Segmentation, Paging. Virtual memory, Demand paging, Page replacement algorithms.

### Module V

**File System:** File concept - Attributes, Operations, types, structure – Access methods, Protection. File-system implementation, Directory implementation. Allocation methods.

**Storage Management:** Magnetic disks, Solid-state disks, Disk Structure, Disk scheduling, Disk formatting.

### Text Book

Abraham Silberschatz, Peter Baer Galvin, Greg Gagne, ' Operating System Concepts' 9<sup>th</sup> Edition, Wiley India 2015.

### Reference Books:

1. Andrew S Tanenbaum, "Modern Operating Systems", 4<sup>th</sup> Edition, Prentice Hall, 2015.
2. William Stallings, "Operating systems", 6<sup>th</sup> Edition, Pearson, Global Edition, 2015.
3. Garry Nutt, Nabendu Chaki, Sarmistha Neogy, "Operating Systems", 3<sup>rd</sup> Edition, Pearson Education.
4. D.M.Dhamdhare, "Operating Systems", 2<sup>nd</sup> Edition, Tata McGraw Hill, 2011.
5. Sibsankar Haldar, Alex A Aravind, "Operating Systems", Pearson Education.

## Sample Course Level Assessment Questions

**Course Outcome1 (CO1):** What is the main advantage of the micro kernel approach to system design? How do user program and system program interact in a microkernel architecture?

**Course Outcome 2 (CO2):** Define process. With the help of a neat diagram explain different states of process.

**Course Outcome 3 (CO3):** What do you mean by binary semaphore and counting semaphore? With C, explain implementation of wait () and signal().

**Course Outcome 4 (CO4):** Describe resource allocation graph for the following. a) with a deadlock b) with a cycle but no deadlock.

**Course Outcome 5 (CO5):** Consider the following page reference string 1, 2, 3, 4, 2, 1, 5, 6, 2, 1, 2, 3, 7, 6, 3, 2, 1, 2, 3, 6. Find out the number of page faults if there are 4 page frames, using the following page replacement algorithms. i) LRU ii) FIFO iii) Optimal

**Course Outcome 6 (CO6):** Explain the different file allocation methods with advantages and disadvantages.

### Model Question Paper

QP CODE: \_\_\_\_\_

PAGES: \_\_\_\_

Reg No: \_\_\_\_\_

Name: \_\_\_\_\_

**APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY  
FOURTH SEMESTER B.TECH DEGREE EXAMINATION, MONTH & YEAR**

**Course Code: CST 206**

**Course name : OPERATING SYSTEMS**

**Max Marks: 100**

**Duration: 3 Hours**

### PART-A

**(Answer All Questions. Each question carries 3 marks)**

1. How does hardware find the Operating System kernel after system switch-on?
2. What is the purpose of system call in operating system?
3. Why is context switching considered as an overhead to the system?

- ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING
4. How is inter process communication implement using shared memory?
  5. Describe resource allocation graph for the following.
    - a) with a deadlock      b)with a cycle but no deadlock.
  6. What is critical section? What requirement should be satisfied by a solution to the critical section problem?
  7. Consider the reference string 1, 2, 3, 4, 2, 1, 5, 6, 2, 1, 2, 3, 7, 6, 3, 2, 1, 2, 3, 6. How many page faults occur while using FCFS for the following cases.
    - a) frame=2      b)frame=3
  8. Differentiate between internal and external fragmentations.
  9. Compare sequential access and direct access methods of storage devices.
  10. Define the terms (i) Disk bandwidth (ii) Seek time.

**PART-B(Answer any one question from each module)**

11. a) Explain the following structures of operating system (i) Monolithic systems (ii) Layered Systems (iii) Micro Kernel (iv) Modular approach. (12)  
b) Under what circumstances would a user be better of using a time sharing system than a PC or a single user workstation? (2)
- OR**
12. a) What is the main advantage of the micro kernel approach to system design? How do user program and system program interact in a microkernel architecture? (8)  
b) Describe the differences between symmetric and asymmetric multiprocessing? What are the advantages and disadvantages of multiprocessor systems? (6)
- OR**
13. a) Define process. With the help of a neat diagram explain different states of process. (8)  
b) Explain how a new process can be created in Unix using fork system call. (6)
- OR**
- 14 a) Find the average waiting time and average turnaround time for the processes given in the table below using:- i) SRT scheduling algorithm ii) Priority scheduling algorithm (9)

| Process | Arrival Time (ms) | CPU Burst Time (ms) | Priority |
|---------|-------------------|---------------------|----------|
| P1      | 0                 | 5                   | 3        |
| P2      | 2                 | 4                   | 1        |
| P3      | 3                 | 1                   | 2        |
| P4      | 5                 | 2                   | 4        |

b) What is a Process Control Block? Explain the fields used in a Process Control Block. (5)

15. Consider a system with five processes  $P_0$  through  $P_4$  and three resources of type A, B, C. Resource type A has 10 instances, B has 5 instances and C has 7 instances. Suppose at time  $t_0$  following snapshot of the system has been taken:

| Process | Allocation | Max   | Available |
|---------|------------|-------|-----------|
|         | A B C      | A B C | A B C     |
| $P_0$   | 0 1 0      | 7 5 3 | 3 3 2     |
| $P_1$   | 2 0 0      | 3 2 2 |           |
| $P_2$   | 3 0 2      | 9 0 2 |           |
| $P_3$   | 2 1 1      | 2 2 2 |           |
| $P_4$   | 0 0 2      | 4 3 3 |           |

- i) What will be the content of the Need matrix? Is the system in a safe state? If Yes, then what is the safe sequence? (8)
- iii) What will happen if process  $P_1$  requests one additional instance of resource type A and two instances of resource type C? (6)

OR

16. a) State dining philosopher's problem and give a solution using semaphores. (7)
- b) What do you mean by binary semaphore and counting semaphore? With C struct, explain implementation of wait () and signal() (7)

17. a) Consider the following page reference string 1, 2, 3, 4, 2, 1, 5, 6, 2, 1, 2, 3, 7, 6, 3, 2, 1, 2, 3, 6. Find out the number of page faults if there are 4 page frames, using the following page replacement algorithms i) LRU ii) FIFO iii) Optimal (9)
- b) Explain the steps involved in handling a page fault. (5)

**OR**

18. a) With a diagram, explain how paging is done with TLB. (5)
- b) Memory partitions of sizes 100 kb, 500 kb, 200 kb, 300 kb, 600 kb are available, how would best, worst and first fit algorithms place processes of size 212 kb, 417 kb, 112 kb, 426 kb in order. Rank the algorithms in terms of how efficiently they use memory. (9)
19. a) Suppose that a disk drive has 5000 cylinders, numbered 0 to 4999. the drive currently services a request at cylinder 143, and the previous request was at cylinder 125. the queue of pending request in FIFO order is 86, 1470, 913, 1774, 948, 1509, 1022, 1750, 130. Starting from the current position, what is the total distance (in cylinders) that the disk arm moves to satisfy all pending requests for each of the following algorithms
- i) FCFS      ii) SSFT      iii) SCAN      iv) LOOK      v) C-SCAN (10)
- b) What is the use of access matrix in protection mechanism? (4)

**OR**

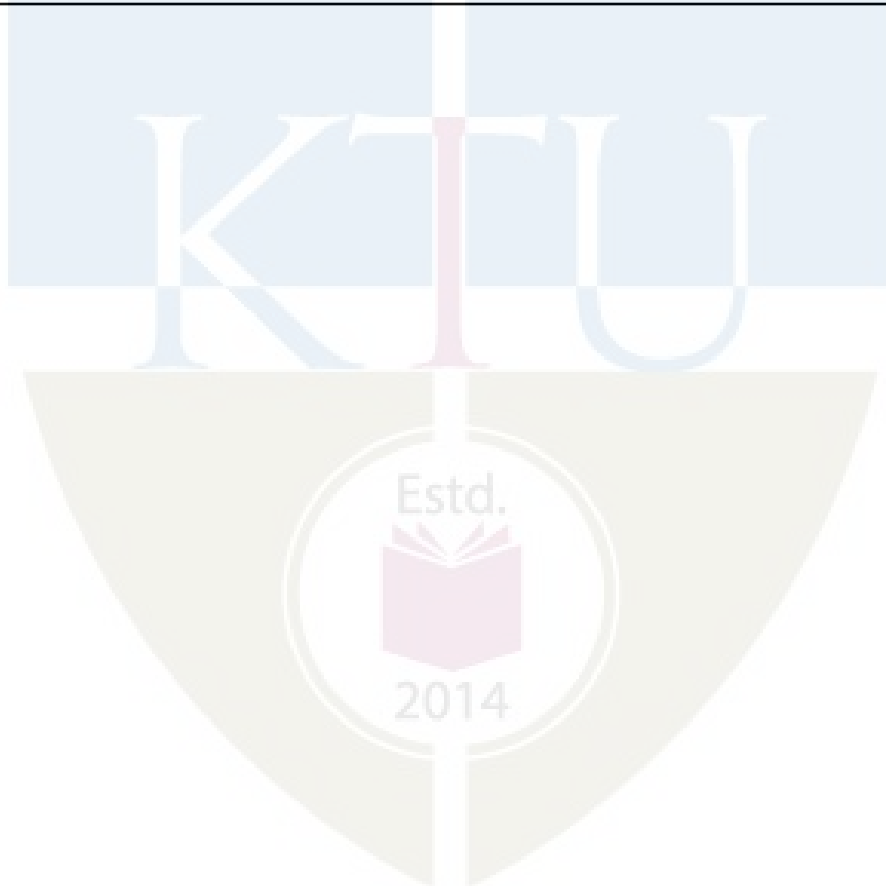
20. a) Explain the different file allocation operations with advantages and disadvantages. (8)
- b) Explain the following i) file types ii) file operation iii) file attributes (6)

### Teaching Plan

|     | <b>Module 1 - Introduction</b>                                    | <b>5 Hours</b> |
|-----|-------------------------------------------------------------------|----------------|
| 1.1 | Introduction to Operating System                                  | 1              |
| 1.2 | Operating System operations, functions, service                   | 1              |
| 1.3 | System calls, Types                                               | 1              |
| 1.4 | Operating System Structure: Simple, Layered, Microkernel, Modules | 1              |
| 1.5 | System Boot Process                                               | 1              |
|     | <b>Module 2 – Processes and Process Scheduling</b>                | <b>9 Hours</b> |
| 2.1 | Processes, Process states                                         | 1              |
| 2.2 | Process Control Block, Threads                                    | 1              |

|      |                                                                     |                 |
|------|---------------------------------------------------------------------|-----------------|
| 2.3  | Scheduling                                                          | 1               |
| 2.4  | Operations on processes: process creation and termination           | 1               |
| 2.5  | Inter-process communication: Shared memory systems, Message Passing | 1               |
| 2.6  | Process Scheduling – Basic concepts, Scheduling Criteria            | 1               |
| 2.7  | Scheduling algorithms - Basics                                      | 1               |
| 2.8  | First come First Served, Shortest Job First                         | 1               |
| 2.9  | Priority scheduling, Round Robin Scheduling                         | 1               |
|      | <b>Module 3 - Process synchronization and Dead locks</b>            | <b>13 Hours</b> |
| 3.1  | Process synchronization, Race conditions                            | 1               |
| 3.2  | Critical Section problem, Peterson's solution                       | 1               |
| 3.3  | Synchronization hardware, Mutex Locks                               | 1               |
| 3.4  | Semaphores                                                          | 1               |
| 3.5  | Monitors                                                            | 1               |
| 3.6  | Synchronization problem examples (Lecture 1)                        | 1               |
| 3.7  | Synchronization problem examples (Lecture 2)                        | 1               |
| 3.8  | Deadlocks: Necessary conditions, Resource Allocation Graphs         | 1               |
| 3.9  | Deadlock prevention                                                 | 1               |
| 3.10 | Deadlock avoidance                                                  | 1               |
| 3.11 | Banker's algorithm                                                  | 1               |
| 3.12 | Deadlock detection                                                  | 1               |
| 3.13 | Deadlock recovery                                                   | 1               |
|      | <b>Module 4 - Memory Management</b>                                 | <b>9 Hours</b>  |
| 4.1  | Memory Management: Concept of Address spaces                        | 1               |
| 4.2  | Swapping                                                            | 1               |
| 4.3  | Contiguous memory allocation, fixed and variable partitions         | 1               |
| 4.4  | Segmentation.                                                       | 1               |
| 4.5  | Paging (Lecture 1)                                                  | 1               |
| 4.6  | Paging (Lecture 2)                                                  | 1               |
| 4.7  | Virtual memory, Demand Paging                                       | 1               |

|     |                                                        |                |
|-----|--------------------------------------------------------|----------------|
| 4.8 | Page replacement algorithms (Lecture 1)                | 1              |
| 4.9 | Page replacement algorithms (Lecture 2)                | 1              |
|     | <b>Module 5 - File and Disk management</b>             | <b>9 Hours</b> |
| 5.1 | File concept, Attributes, Operations, types, structure | 1              |
| 5.2 | Access methods                                         | 1              |
| 5.3 | Protection                                             | 1              |
| 5.4 | File-System implementation                             | 1              |
| 5.5 | Directory implementation                               | 1              |
| 5.6 | Allocation methods                                     | 1              |
| 5.7 | Magnetic disks, Solid-state disks, Disk structure      | 1              |
| 5.8 | Disk scheduling                                        | 1              |
| 5.9 | Disk formatting                                        | 1              |



| AIL202 | DATABASE MANAGEMENT<br>SYSTEMS LAB | CATEGORY | L | T | P | CREDITS |
|--------|------------------------------------|----------|---|---|---|---------|
|        |                                    | PCC      | 0 | 0 | 3 | 2       |

**Preamble:** The Database Management Systems course is intended to impart the elementary concepts of a database management system to students and equip them to design and implement a database application based on those concepts. This course helps the learners to get practical exposure on database creation, SQL queries creation, transaction processing and NoSQL & MongoDB based operations. The course enables the students to create, manage and administer the databases, develop necessary tools for the design and development of the databases, and to understand emerging technologies to handle Big Data.

**Prerequisite:** A sound knowledge of the basics of relational DBMS.

**Course Outcomes:** After the completion of the course the student will be able to

| CO# | CO                                                                                                                                                |
|-----|---------------------------------------------------------------------------------------------------------------------------------------------------|
| CO1 | Design database schema for a given real world problem-domain using standard design and modeling approaches.<br>(Cognitive Knowledge Level: Apply) |
| CO2 | Construct queries using SQL for database creation, interaction, modification, and updation.<br>(Cognitive Knowledge Level: Apply)                 |
| CO3 | Design and implement triggers and cursors.<br>(Cognitive Knowledge Level: Apply)                                                                  |
| CO4 | Implement procedures, functions, and control structures using PL/SQL.<br>(Cognitive Knowledge Level: Apply)                                       |
| CO5 | Perform CRUD operations in NoSQL Databases.<br>(Cognitive Knowledge Level: Apply)                                                                 |
| CO6 | Develop database applications using front-end tools and back-end DBMS.<br>(Cognitive Knowledge Level: Create)                                     |

**Mapping of course outcomes with program outcomes**

|     | PO1 | PO2 | PO3 | PO4 | PO5 | PO6 | PO7 | PO8 | PO9 | PO10 | PO11 | PO12 |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|------|
| CO1 | ✓   | ✓   | ✓   |     | ✓   |     |     | ✓   |     | ✓    |      | ✓    |
| CO2 | ✓   | ✓   | ✓   |     | ✓   |     |     | ✓   |     | ✓    |      | ✓    |
| CO3 | ✓   | ✓   | ✓   | ✓   | ✓   |     |     | ✓   |     | ✓    |      | ✓    |
| CO4 | ✓   | ✓   | ✓   | ✓   | ✓   |     |     | ✓   |     | ✓    |      | ✓    |
| CO5 | ✓   | ✓   | ✓   |     | ✓   |     |     | ✓   |     | ✓    |      | ✓    |
| CO6 | ✓   | ✓   | ✓   | ✓   | ✓   | ✓   |     | ✓   | ✓   | ✓    | ✓    | ✓    |

| Abstract POs defined by National Board of Accreditation |                                            |      |                                |
|---------------------------------------------------------|--------------------------------------------|------|--------------------------------|
| PO#                                                     | Broad PO                                   | PO#  | Broad PO                       |
| PO1                                                     | Engineering Knowledge                      | PO7  | Environment and Sustainability |
| PO2                                                     | Problem Analysis                           | PO8  | Ethics                         |
| PO3                                                     | Design/Development of solutions            | PO9  | Individual and team work       |
| PO4                                                     | Conduct investigations of complex problems | PO10 | Communication                  |
| PO5                                                     | Modern tool usage                          | PO11 | Project Management and Finance |
| PO6                                                     | The Engineer and Society                   | PO12 | Life long learning             |

#### Assessment Pattern:

| Bloom's Category | Continuous Assessment Test (Internal Exam) Percentage | End Semester Examination Percentage |
|------------------|-------------------------------------------------------|-------------------------------------|
| Remember         | 20                                                    | 20                                  |
| Understand       | 20                                                    | 20                                  |
| Apply            | 60                                                    | 60                                  |
| Analyse          |                                                       |                                     |
| Evaluate         |                                                       |                                     |
| Create           |                                                       |                                     |

#### Mark Distribution

| Total Marks | CIE Marks | ESE Marks | ESE Duration |
|-------------|-----------|-----------|--------------|
| 150         | 75        | 75        | 3 hours      |

#### Continuous Internal Evaluation Pattern:

|                              |            |
|------------------------------|------------|
| Attendance                   | : 15 marks |
| Continuous Evaluation in Lab | : 30 marks |
| Continuous Assessment Test   | : 15 marks |
| Viva-voce                    | : 15 marks |

**Internal Examination Pattern :** The marks will be distributed as Schema/Logic: 30 marks, Program/Queries: 20 marks, Output: 20 marks, and Viva: 30 marks. Total 100 marks which will be converted out of 15 while calculating Internal Evaluation marks.

**End Semester Examination Pattern:** The marks will be distributed as Schema/Logic: 30 marks, Program/Queries: 20 marks, Output: 20 marks, and Viva: 30 marks. Total 100 marks will be converted out of 75 for the End Semester Examination.

**DBMS software:** Oracle, MySQL, SQL Server, PostgreSQL, MongoDB.

**Front end Tool:** Java

### **Fair Lab Record:**

All Students attending the DBMS Lab should have a Fair Record. The fair record should be produced in the University Lab Examination. Every experiment conducted in the lab should be noted in the fair record. For every experiment in the fair record, the right hand page should contain Experiment Heading, Experiment Number, Date of Experiment, Aim of Experiment, Schemas/Menu & Form Design, and Query questions. The left hand page should contain Queries and sample output (relations created, Form, and Menu Output) obtained for a set of input.

### **SYLLABUS**

1. Design a database schema for an application with ER diagram from a problem description \*\*.
2. Creation, modification, configuration, and deletion of databases using UI and SQL Commands \*\*.
3. Creation of database schema - DDL (create tables, set constraints, enforce relationships, create indices, delete and modify tables). Export ER diagram from the database and verify relationships\*\* (with the ER diagram designed in step 1).
4. Database initialization - Data insert, Data import to a database (bulk import using UI and SQL Commands)\*\*.
5. Practice SQL commands for DML (insertion, updating, altering, deletion of data, and viewing/querying records based on condition in databases)\*\*.
6. Implementation of built-in functions in RDBMS\*\*.
7. Implementation of various aggregate functions in SQL\*\*.
8. Implementation of Order By, Group By & Having clause \*\*.
9. Implementation of set operators nested queries, and join queries \*\*.
10. Implementation of queries using temp tables.
11. Practice of SQL TCL commands like Rollback, Commit, Savepoint \*\*.
12. Practice of SQL DCL commands for granting and revoking user privileges \*\*.
13. Practice of SQL commands for creation of views and assertions \*\*.
14. Implementation of various control structures like IF-THEN, IF-THEN-ELSE, IF-THEN-ELSIF, CASE, WHILE using PL/SQL \*\*.
15. Creation of Procedures, Triggers and Functions\*\*.
16. Creation of Packages \*\*.
17. Creation of Cursors \*\*.
18. Creation of PL/SQL blocks for exception handling \*\*.
19. Database backup and restore using commands.
20. Query analysis using Query Plan/Show Plan.
21. Familiarization of NoSQL Databases and CRUD operations\*\*.
22. Design a database application using any front end tool for any problem selected. The application constructed should have five or more tables\*\*.

\*\* mandatory

## Text Books

1. Elmasri R. and S. Navathe, Database Systems: Models, Languages, Design and Application Programming, Pearson Education, 2013.
2. Sliberschatz A., H. F. Korth and S. Sudarshan, Database System Concepts, 6/e, McGraw Hill, 2011.

## References

1. Adam Fowler, NoSQL for Dummies, John Wiley & Sons, 2015
2. NoSQL Data Models: Trends and Challenges (Computer Engineering: Databases and Big Data), Wiley, 2018

## PRACTICE QUESTIONS

**Design a normalised database schema for the following requirement.**

**The requirement:** A library wants to maintain the record of books, members, book issue, book return, and fines collected for late returns, in a database. The database can be loaded with book information. Students can register with the library to be a member. Books can be issued to students with a valid library membership. A student can keep an issued book with him/her for a maximum period of two weeks from the date of issue, beyond which a fine will be charged. Fine is calculated based on the delay in days of return. For 0-7 days: Rs 10, For 7 – 30 days: Rs 100, and for days above 30 days: Rs 10 will be charged per day.

### Sample Database Design

BOOK (**Book\_Id**, Title, Language\_Id, MRP, Publisher\_Id, Published\_Date, Volume, Status) // Language\_Id, Publisher\_Id are FK (Foreign Key)

AUTHOR(**Author\_Id**, Name, Email, Phone\_Number, Status)

BOOK\_AUTHOR(**Book\_Id**, **Author\_Id**) // many-to-many relationship, both columns are **PK and FK** (Primary Key and Foreign Key)

PUBLISHER(**Publisher\_id**, Name, Address)

MEMBER(**Member\_Id**, Name, Branch\_Code, Roll\_Number, Phone\_Number, Email\_Id, Date\_of\_Join, Status)

BOOK\_ISSUE(**Issue\_Id**, Date\_Of\_Issue, Book\_Id, Member\_Id, Expected\_Date\_Of\_Return, Status) // Book+Id and Member\_Id are FKs

BOOK\_RETURN(**Issue\_Id**, Actual\_Date\_Of\_Return, LateDays, LateFee) // Issue\_Id is PK and FK

LANGUAGE(**Language\_id**, Name) //Static Table for storing permanent data

LATE\_FEE\_RULE(**FromDays**, **ToDays**, Amount) // Composite Key

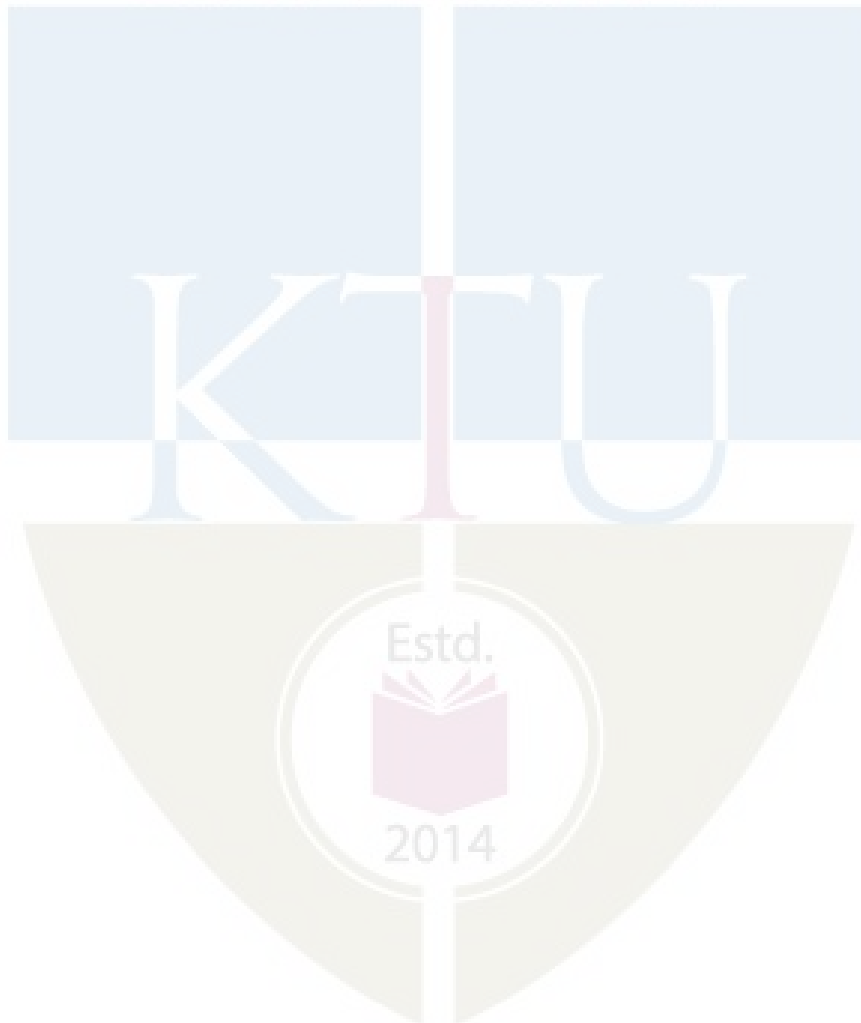
## EXERCISES

1. Create a normalized database design with proper tables, columns, column types, and constraints
2. Create an ER diagram for the above database design.
3. Write SQL commands to
  - a. Create a database by name *Library*. Drop the database and re-create it.
  - b. Create DDL statements and create the tables and constraints (from the design) in the database created in step-a (*Library*)  
Notes: [ Create a script file and execute it. Create the script file in such a way that,,if the table exists, drop the tables and recreate )]
  - c. Create and execute DROP TABLE command in tables with and without FOREIGN KEY constraints.
  - d. Create and execute ALTER TABLE command in tables with data and without data.
  - e. Create and execute SQL commands to build indices on Member\_Id and Book\_Id on table Book\_Issue.
  - f. Create and execute GRANT/REVOKE commands on tables.
  - g. Create and execute SQL commands to insert data into each of the tables designed
  - h. Learn and execute bulk import of data to tables from CSV files (insert 1000 records of books into the BOOK table from a CSV file).
  - i. Create and execute UPDATE/DELETE commands on tables. Try to update/delete rows with Primary and Foreign Keys. Try bulk updates or deletes using SQL UPDATE statement
4. Write SQLQuery to retrieve the following information
  - a. Get the number of books written by a given author
  - b. Get the list of publishers and the number of books published by each publisher
  - c. Get the names of authors who jointly wrote more than one book.
  - d. Get the list of books that are issued but not returned
  - e. Get the list of students who reads only 'Malayalam' books
  - f. Get the total fine collected for the current month and current quarter
  - g. Get the list of students who have overdue (not returned the books even on due date)
  - h. Calculate the fine (as of today) to be collected from each overdue book.
  - i. Members who joined after Jan 1 2021 but has not taken any books
5. Book return should insert an entry into the Book\_Return table and also update the status in Book\_Issue table as 'Returned'. Create a database *TRANSACTION* to do this operation (stored procedure).
6. Create a database view 'Available\_Books', which will list out books that are currently available in the library
7. Create a database procedure to add, update and delete a book to the Library database (use parameters).
8. Use cursors and create a procedure to print Books Issue Register (page wise – 20 rows in a page)
9. Create a history table (you may use the same structure without any keys) for the MEMBER table and copy the original values of the row being updated to the history table using a TRIGGER.
10. NoSQL Exercise
  - a. Practice Mongo DB CRUD operations. Refer: <https://docs.mongodb.com/manual/crud/>
  - b. You may use a MongoDB local installation or cloud MongoDB services like MongoDB Atlas for this exercise
  - c. For documentation: Refer: <https://docs.mongodb.com/manual/introduction/>

11. Application Development Problem examples: INTELLIGENCE AND MACHINE LEARNING

- 1) Inventory Control System.
- 2) Material Requirement Processing.
- 3) Hospital Management System.
- 4) Railway Reservation System.
- 5) Personal Information System.
- 6) Web Based User Identification System.
- 7) Timetable Management System.
- 8) Hotel Management System.

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| CSL204 | OPERATING<br>SYSTEMS LAB | CATEGORY | L | T | P | CREDIT | YEAR OF      |
|--------|--------------------------|----------|---|---|---|--------|--------------|
|        |                          |          |   |   |   |        | INTRODUCTION |
|        |                          | PCC      | 0 | 0 | 3 | 2      | 2019         |

**Preamble:** The course aims to offer students a hands-on experience on Operating System concepts using a constructivist approach and problem-oriented learning. Operating systems are the fundamental part of every computing device to run any type of software.

**Prerequisite:** Topics covered in the courses are **Data Structures (CST 201)** and **Programming in C (EST 102)**

**Course Outcomes:**

At the end of the course, the student should be able to

|            |                                                                                                                                                                  |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>CO1</b> | Illustrate the use of systems calls in Operating Systems. <b>(Cognitive knowledge: Understand)</b>                                                               |
| <b>CO2</b> | Implement Process Creation and Inter Process Communication in Operating Systems. <b>(Cognitive knowledge: Apply)</b>                                             |
| <b>CO3</b> | Implement First Come First Served, Shortest Job First, Round Robin and Priority-based CPU Scheduling Algorithms. <b>(Cognitive knowledge: Apply)</b>             |
| <b>CO4</b> | Illustrate the performance of First In First Out, Least Recently Used and Least Frequently Used Page Replacement Algorithms. <b>(Cognitive knowledge: Apply)</b> |
| <b>CO5</b> | Implement modules for Deadlock Detection and Deadlock Avoidance in Operating Systems. <b>(Cognitive knowledge: Apply)</b>                                        |
| <b>CO6</b> | Implement modules for Storage Management and Disk Scheduling in Operating Systems. <b>(Cognitive knowledge: Apply)</b>                                           |

**Mapping of course outcomes with program outcomes**

|     | PO1 | PO2 | PO3 | PO4 | PO5 | PO6 | PO7 | PO8 | PO9 | PO10 | PO11 | PO12 |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|------|
| CO1 | ✓   | ✓   | ✓   |     |     |     |     | ✓   |     | ✓    |      | ✓    |
| CO2 | ✓   | ✓   | ✓   |     |     |     |     | ✓   |     | ✓    |      | ✓    |
| CO3 | ✓   | ✓   | ✓   | ✓   |     |     |     | ✓   |     | ✓    |      | ✓    |
| CO4 | ✓   | ✓   | ✓   | ✓   |     |     |     | ✓   |     | ✓    |      | ✓    |
| CO5 | ✓   | ✓   | ✓   | ✓   |     |     |     | ✓   |     | ✓    |      | ✓    |
| CO6 | ✓   | ✓   | ✓   | ✓   |     |     |     | ✓   |     | ✓    |      | ✓    |

| Abstract POs defined by National Board of Accreditation |                                            |      |                                |
|---------------------------------------------------------|--------------------------------------------|------|--------------------------------|
| PO#                                                     | Broad PO                                   | PO#  | Broad PO                       |
| PO1                                                     | Engineering Knowledge                      | PO7  | Environment and Sustainability |
| PO2                                                     | Problem Analysis                           | PO8  | Ethics                         |
| PO3                                                     | Design/Development of solutions            | PO9  | Individual and team work       |
| PO4                                                     | Conduct investigations of complex problems | PO10 | Communication                  |
| PO5                                                     | Modern tool usage                          | PO11 | Project Management and Finance |
| PO6                                                     | The Engineer and Society                   | PO12 | Life long learning             |

**Assessment Pattern:**

| Bloom's Category | Continuous Assessment Test<br>(Internal Exam) Marks in<br>percentage | End Semester Examination<br>Marks in percentage |
|------------------|----------------------------------------------------------------------|-------------------------------------------------|
| Remember         | 20                                                                   | 20                                              |
| Understand       | 20                                                                   | 20                                              |
| Apply            | 60                                                                   | 60                                              |
| Analyse          |                                                                      |                                                 |
| Evaluate         |                                                                      |                                                 |
| Create           |                                                                      |                                                 |

**Mark Distribution**

| Total Marks | CIE Marks | ESE Marks | ESE Duration |
|-------------|-----------|-----------|--------------|
| 150         | 75        | 75        | 3 hours      |

**Continuous Internal Evaluation Pattern:**

|                              |   |          |
|------------------------------|---|----------|
| Attendance                   | : | 15 marks |
| Continuous Evaluation in Lab | : | 30 marks |
| Continuous Assessment Test   | : | 15 marks |
| Viva Voce                    | : | 15 marks |

**Internal Examination Pattern:** The marks will be distributed as Algorithm 30 marks, Program 20 marks, Output 20 marks and Viva 30 marks. Total 100 marks which will be converted out of 15 while calculating Internal Evaluation marks.

**End Semester Examination Pattern:** The percentage of marks will be distributed as Algorithm 30 marks, Program 20 marks, Output 20 marks and Viva 30 marks. Total 75 marks.

**Operating System to Use in Lab** : Linux

**Compiler/Software to Use in Lab** : gcc

**Programming Language to Use in Lab** : Ansi C

**Fair Lab Record:**

All Students attending the Operating System Lab should have a Fair Record. The fair record should be produced in the University Lab Examination. Every experiment conducted in the lab should be noted in the fair record. For every experiment in the fair record, the right hand page should contain Experiment Heading, Experiment Number, Date of experiment, Aim of the Experiment and the operations performed on them, Details of experiment including algorithm and result of Experiment. The left hand page should contain a print out of the code used for experiment and sample output obtained for a set of input.

## **SYLLABUS**

### **OPERATING SYSTEMS LAB**

\* mandatory

1. Basic Linux commands
2. Shell programming
  - Command syntax
  - Write simple functions with basic tests, loops, patterns
3. System calls of Linux operating system: \*
  - fork, exec, getpid, exit, wait, close, stat, opendir, readdir
4. Write programs using the I/O system calls of Linux operating system (open, read, write)
5. Implement programs for Inter Process Communication using Shared Memory \*
6. Implement Semaphores\*
7. Implementation of CPU scheduling algorithms. a) Round Robin b) SJF c) FCFS d) Priority \*
8. Implementation of the Memory Allocation Methods for fixed partition\*
  - a) First Fit b) Worst Fit c) Best Fit
9. Implement l page replacement algorithms a) FIFO b) LRU c) LFU\*
10. Implement the banker's algorithm for deadlock avoidance. \*
11. Implementation of Deadlock detection algorithm
12. Simulate file allocation strategies.
  - b) Sequential b) Indexed c) Linked
13. Simulate disk scheduling algorithms. \*
  - c) FCFS b)SCAN c) C-SCAN

### **OPERATING SYSTEMS LAB - PRACTICE QUESTIONS**

1. Write a program to create a process in linux.
2. Write programs using the following system calls of Linux operating system:
  - fork, exec, getpid, exit, wait, close, stat, opendir, readdir
3. Write programs using the I/O system calls of Linux operating system (open, read, write)

4. Given the list of processes, their CPU burst times and arrival times, display/print the Gantt chart for FCFS and SJF. For each of the scheduling policies, compute and print the average waiting time and average turnaround time
5. Write a C program to simulate following non-preemptive CPU scheduling algorithms to find turnaround time and waiting time.
  - a) FCFS   b) SJF   c) Round Robin (pre-emptive)   d) Priority
6. Write a C program to simulate following contiguous memory allocation techniques
  - a) Worst-fit   b) Best-fit   c) First-fit
7. Write a C program to simulate paging technique of memory management.
8. Write a C program to simulate Bankers algorithm for the purpose of deadlock avoidance.
9. Write a C program to simulate disk scheduling algorithms a) FCFS b) SCAN c) C-SCAN
10. Write a C program to simulate page replacement algorithms a) FIFO b) LRU c) LFU
11. Write a C program to simulate producer-consumer problem using semaphores.
12. Write a program for file manipulation for display a file and directory in memory.
13. Write a program to simulate algorithm for deadlock prevention.
14. Write a C program to simulate following file allocation strategies.
  - a) Sequential   b) Indexed   c) Linked



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# **SEMESTER IV**

## **HONOURS**



| CODE   | COURSE NAME   | CATEGORY | L | T | P | CREDIT | Year of Introduction |
|--------|---------------|----------|---|---|---|--------|----------------------|
| CST292 | NUMBER THEORY | VAC      | 4 | 0 | 0 | 4      | 2019                 |

**Preamble:** This is the foundational course for awarding B. Tech. Honours in Computer Science and Engineering with specialization in *Security in Computing*. The purpose of this course is to create awareness among learners about the important areas of number theory used in computer science. This course covers Divisibility & Modular Arithmetic, Primes & Congruences, Euler's Function, Quadratic Residues and Arithmetic Functions, Sum of Squares and Continued fractions. Concepts in Number Theory help the learner to apply them eventually in practical applications in Computer organization & Security, Coding & Cryptography, Random number generation, Hash functions and Graphics.

**Prerequisite:** A sound background in Higher Secondary School Mathematics

**Course Outcomes:** After the completion of the course the student will be able to

|     |                                                                                                                                                                                                      |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CO1 | Illustrate modular arithmetic operations, methods and techniques ( <b>Cognitive Knowledge Level: Understand</b> )                                                                                    |
| CO2 | Use the methods - Induction, Contraposition or Contradiction to verify the correctness of mathematical assertions ( <b>Cognitive Knowledge Level: Apply</b> )                                        |
| CO3 | Utilize theorems and results about prime numbers, congruences, quadratic residues and integer factorization for ensuring security in computing systems ( <b>Cognitive Knowledge Level: Analyse</b> ) |
| CO4 | Illustrate uses of Chinese Remainder Theorem & Euclidean algorithm in Cryptography and Security ( <b>Cognitive Knowledge Level: Apply</b> )                                                          |
| CO5 | Explain applications of arithmetic functions in Computer Science ( <b>Cognitive Knowledge Level: Understand</b> )                                                                                    |
| CO6 | Implement Number Theoretic Algorithms using a programming language ( <b>Cognitive Knowledge Level: Apply</b> )                                                                                       |

**Mapping of course outcomes with program outcomes**

|     | PO1 | PO2 | PO3 | PO4 | PO5 | PO6 | PO7 | PO8 | PO9 | PO10 | PO11 | PO12 |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|------|
| CO1 | ✓   | ✓   | ✓   | ✓   |     |     |     |     |     | ✓    |      | ✓    |
| CO2 | ✓   | ✓   | ✓   | ✓   |     |     |     |     |     |      |      | ✓    |
| CO3 | ✓   | ✓   | ✓   | ✓   |     | ✓   |     |     |     |      |      | ✓    |
| CO4 | ✓   | ✓   | ✓   | ✓   |     | ✓   |     |     |     |      |      | ✓    |
| CO5 | ✓   | ✓   | ✓   | ✓   |     |     |     |     |     | ✓    |      | ✓    |
| CO6 | ✓   | ✓   | ✓   | ✓   | ✓   |     |     | ✓   |     |      |      | ✓    |

| Abstract POs defined by National Board of Accreditation |                                            |      |                                |
|---------------------------------------------------------|--------------------------------------------|------|--------------------------------|
| PO#                                                     | Broad PO                                   | PO#  | Broad PO                       |
| PO1                                                     | Engineering Knowledge                      | PO7  | Environment and Sustainability |
| PO2                                                     | Problem Analysis                           | PO8  | Ethics                         |
| PO3                                                     | Design/Development of solutions            | PO9  | Individual and team work       |
| PO4                                                     | Conduct investigations of complex problems | PO10 | Communication                  |
| PO5                                                     | Modern tool usage                          | PO11 | Project Management and Finance |
| PO6                                                     | The Engineer and Society                   | PO12 | Life long learning             |

**Assessment Pattern**

| <b>Bloom's Category</b> | <b>Continuous Assessment Tests</b> |                           | <b>End Semester Examination Marks (Percentage)</b> |
|-------------------------|------------------------------------|---------------------------|----------------------------------------------------|
|                         | <b>Test1 (Percentage)</b>          | <b>Test2 (Percentage)</b> |                                                    |
| <b>Remember</b>         | 30                                 | 30                        | 30                                                 |
| <b>Understand</b>       | 30                                 | 30                        | 30                                                 |
| <b>Apply</b>            | 40                                 | 40                        | 40                                                 |
| <b>Analyse</b>          |                                    |                           |                                                    |
| <b>Evaluate</b>         |                                    |                           |                                                    |
| <b>Create</b>           |                                    |                           |                                                    |

**Mark Distribution**

| <b>Total Marks</b> | <b>CIE Marks</b> | <b>ESE Marks</b> | <b>ESE Duration</b> |
|--------------------|------------------|------------------|---------------------|
| <b>150</b>         | <b>50</b>        | <b>100</b>       | <b>3 hours</b>      |

**Continuous Internal Evaluation Pattern:**

Attendance : 10 marks

Continuous Assessment Tests : 25 marks

Continuous Assessment Assignment : 15 marks

**Internal Examination Pattern:**

Each of the two internal examinations has to be conducted out of 50 marks

First Internal Examination shall be preferably conducted after completing the first half of the syllabus and the Second Internal Examination shall be preferably conducted after completing remaining part of the syllabus.

There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly covered module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly covered module), each with 7 marks. Out of the 7 questions in Part B, a student should answer any 5.

**End Semester Examination Pattern:**

There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which a student should answer any one. Each question can have maximum 2 sub-divisions and carries 14 marks.

**SYLLABUS****Module 1****Divisibility and Modular Arithmetic:**

Finite Fields – Groups, Rings and Fields.

Divisibility - Divisibility and Division Algorithms, Well ordering Principle, Bezout's Identity.

Modular Arithmetic- Properties, Euclid's algorithm for the greatest common divisor, Extended Euclid's Algorithm, Least Common multiple, Solving Linear Diophantine Equations, Modular Division.

**Module 2****Primes and Congruences:**

Prime Numbers-Prime Numbers and prime-power factorization, Fermat and Mersenne primes., Primality testing and factorization.

Congruences-Linear congruences, Simultaneous linear congruences, Chinese Remainder Theorem, Fermat's little theorem, Wilson's theorem.

### Module 3

#### **Congruences with a Prime-Power Modulus&Euler's Function:**

Congruences with a Prime-Power Modulus-Arithmetic modulo  $p$ , Pseudoprimes and Carmichael numbers, Solving congruences modulo prime powers.

Euler's Function-Euler's Totient function, Applications of Euler's Totient function, Traditional Cryptosystem, Limitations.

The Group of units- The group  $U_n$ , Primitive roots, Existence of primitive roots, Applications of primitive roots.

### Module 4

#### **Quadratic Residues & Arithmetic Functions :**

Quadratic Residues- Quadratic Congruences, The group of Quadratic residues, Legendre symbol, Jacobi Symbol, Quadratic reciprocity.

Arithmetic Functions- Definition and examples, Perfect numbers, Mobius function and its properties, Mobius inversion formula, The Dirichlet Products.

### Module 5

#### **Sum of Squares and Continued Fractions:**

Sum of Squares- Sum of two squares, The Gaussian Integers, Sum of three squares, Sum of four squares.

Continued Fractions -Finite continued fractions, Infinite continued fractions, Pell's Equation, Solution of Pell's equation by continued fractions.

#### **Text Books**

1. G.A. Jones & J.M. Jones, Elementary Number Theory, Springer UTM, 2007.
2. Joseph Silverman, A Friendly introduction to Number Theory, Pearson Ed. 2009.

## Reference Books

1. William Stallings, Cryptography and Network Security Principles and Practice, Pearson Ed.
2. Tom M. Apostol, 'Introduction to Analytic Number Theory', Narosa Publishing House Pvt. Ltd, New Delhi, (1996).
3. Neal Koblitz, A course in Number Theory and Cryptography, 2<sup>nd</sup> Edition, Springer ,2004.

## Sample Course Level Assessment Questions

**Course Outcome 1 (CO1):** Describe the properties of modular arithmetic and modulo operator.

**Course Outcome 2 (CO2):** Prove that the equation  $y^2 = x^3 - 2$  has only the integer solution  $(3, \pm 5)$ .

**Course Outcome 3 (CO3):** State the law of reciprocity for Jacobi symbols and use it to determine whether 888 is a quadratic residue or non residue of the prime 1999.

**Course Outcome 4 (CO4):** Using Chinese remainder theorem, solve the system of congruence  $x \equiv 2 \pmod{3}$ ,  $x \equiv 3 \pmod{5}$ ,  $x \equiv 2 \pmod{7}$

**Course Outcome 5 (CO5):** State and prove Dirichlet product.

**Course Outcome 6 (CO6):** Use extended Euclid's algorithm to solve Diophantine equations efficiently. Given three numbers  $a > 0$ ,  $b > 0$ , and  $c$ , the algorithm should return some  $x$  and  $y$  such that  $ax + by = c$ .



**Model Question Paper****QP CODE:****PAGES: 03**

RegNo : .....

Name : .....

**APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY**  
**FOURTH SEMESTER BTECH (HONOURS) DEGREE EXAMINATION, MONTH & YEAR**

**Course Code: CST 292 Course****Name: Number Theory****Max.Marks:100****Duration: 3 Hours****PART A****Answer all Questions. Each question carries 3 Marks (10x3=30)**

1. State and prove well ordering principle.
2. Find gcd d of  $x=525$  and  $y=231$  and express d as  $ax + by$  where a and b are integers.
3. Solve the congruence equation  $103x \equiv 57 \pmod{211}$ .
4. Use Fermat's Little theorem to show that 91 is not a prime.
5. If m is relatively prime to n, show that  $\Phi(mn) = \Phi(m) \Phi(n)$ .
6. Explain how public key cryptography can be used for digital signatures.
7. Define Mobius function and prove Mobius function is a multiplicative.
8. State and prove Dirichlet product.
9. Show that every prime of the form  $4k+1$  can be represented uniquely as the sum of two squares.
10. Find the continued fraction representation of the rational number  $55/89$ .

**Part B****Answer any one Question from each module.****Each question carries 14 Marks**

11. (a) State the Euclidean algorithm and its extension with an example. (7)
- (b) Find all the solutions of  $24x + 34y = 6$ . (7)

**OR**

12. (a) Describe the properties of modular arithmetic and modulo operator. (7)
- (b) Explain Extended Euclidean algorithm. Using the algorithm find the

multiplicative inverse of 135 mod 61 (7)

13. (a) State and prove Wilson's theorem (7)

(b) Explain Fermat's factorization method and use it to factor 809009 (7)

**OR**

14. (a) Using Chinese remainder theorem, solve the system of congruences,  
 $x \equiv 2 \pmod{3}$ ,  $x \equiv 3 \pmod{5}$ ,  $x \equiv 2 \pmod{7}$  (7)

(b) Define Fermat primes. Show that any two distinct Fermat numbers are Relatively prime. (7)

15. (a) Distinguish between public key and private key encryption techniques. Also point out the merits and demerits of both. (7)

(b) Define Carmichael number and show that a Carmichael number must be the product of at least three distinct primes. (7)

**OR**

16. (a) Define a pseudo prime to a base and find all non trivial bases for which 15 is a pseudo prime. (6)

(b) Find an element of  
 i) order 5 modulo 11      ii) order 4 modulo 13  
 iii) order 8 modulo 17      iv) order 6 modulo 19 (8)

17. (a) Determine the quadratic residues and non residues modulo 17. Also determine whether 219 is a quadratic residue or non residue of the prime 383. (8)

(b) State the law of quadratic reciprocity. Determine those odd primes  $p$  for which 3 is a quadratic residue and those for which it is a non residue. (6)

**OR**

18. (a) State and prove properties of Legendre's symbol. (7)

(b) State the law of reciprocity for Jacobi symbols and using it determine whether 888 is a quadratic residue or non residue of the prime 1999. (7)

19. (a) Prove that the equation  $y^2 = x^3 - 2$  has only the integer solution  $(3, \pm 5)$ . (7)

(b) Define a Gaussian integer. Factorize the Gaussian integer  $440 - 55i$ . (7)

**OR**

20. (a) If  $m$ , and  $n$  can be expressed as sum of four squares, then show that  $mn$  can also be expressed the sum of four squares. (7)

(b) Find all the solutions of the Diophantine equation  $x^2 - 6y^2 = 1$ . (7)

### Teaching Plan

| <b>Module 1: Divisibility and Euclidean Algorithm</b> |                                                                                                                 | <b>9 hours</b> |
|-------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|----------------|
| 1.1                                                   | Finite Fields – Groups and Rings.                                                                               | 1 hour         |
| 1.2                                                   | Finite Fields – Fields.                                                                                         | 1 hour         |
| 1.3                                                   | Divisibility and Division Algorithms, Well ordering Principle.                                                  | 1 hour         |
| 1.4                                                   | Decimal Expansion of a positive Integer, Greatest Common Divisor, Bezout's Theorem.                             | 1 hour         |
| 1.5                                                   | Modular Arithmetic- Properties of congruences, Modular Arithmetic Operations, Properties of Modular Arithmetic. | 1 hour         |
| 1.6                                                   | Euclid's algorithm for the greatest common divisor, Extended Euclid's Algorithm.                                | 1 hour         |
| 1.7                                                   | Solving Linear Diophantine Equations.                                                                           | 1 hour         |
| 1.8                                                   | Least Common multiple and Modular Division.                                                                     | 1 hour         |
| 1.9                                                   | Implementation of Euclid's algorithm, Extended Euclid's Algorithm and solution of Linear Diophantine Equations. | 1 hour         |
| <b>Module 2: Primes and Congruences</b>               |                                                                                                                 | <b>9 hours</b> |
| 2.1                                                   | Prime Numbers and prime-power Factorization.                                                                    | 1 hour         |
| 2.2                                                   | Fermat and Mersenne primes.                                                                                     | 1 hour         |
| 2.3                                                   | Primality testing and factorization, Miller -Rabin Test for Primality.                                          | 1 hour         |
| 2.4                                                   | Pollard's Rho Method for Factorization, Fermat's Factorization.                                                 | 1 hour         |

|                                                                                |                                                                           |                |
|--------------------------------------------------------------------------------|---------------------------------------------------------------------------|----------------|
| 2.5                                                                            | Linear congruences, Simultaneous linear congruences.                      | 1 hour         |
| 2.6                                                                            | Chinese Remainder Theorem.                                                | 1 hour         |
| 2.7                                                                            | Implementation of Chinese Remainder Theorem.                              | 1 hour         |
| 2.8                                                                            | Fermat's little theorem.                                                  | 1 hour         |
| 2.9                                                                            | Wilson's theorem.                                                         | 1 hour         |
| <b>Module 3: Congruences with a Prime-Power Modulus &amp; Euler's Function</b> |                                                                           | <b>9 hours</b> |
| 3.1                                                                            | Congruences with a Prime-Power Modulus, Arithmetic modulo $p$ .           | 1 hour         |
| 3.2                                                                            | Pseudo-primes and Carmichael numbers.                                     | 1 hour         |
| 3.3                                                                            | Solving congruences modulo prime powers.                                  | 1 hour         |
| 3.4                                                                            | Definition of Euler Totient function, Examples and properties.            | 1 hour         |
| 3.5                                                                            | Multiplicativity of Euler's Totient function.                             | 1 hour         |
| 3.6                                                                            | Applications of Euler's function, Euler's Theorem.                        | 1 hour         |
| 3.7                                                                            | Traditional Cryptosystem, Limitations, Public Key Cryptography.           | 1 hour         |
| 3.8                                                                            | The Group of Units, Primitive Roots.                                      | 1 hour         |
| 3.9                                                                            | Existence of primitive roots for Primes, Applications of primitive roots. | 1 hour         |
| <b>Module 4: Quadratic Residues and Arithmetic Functions</b>                   |                                                                           | <b>9 hours</b> |
| 4.1                                                                            | Quadratic congruences, The group of Quadratic Residues.                   | 1 hour         |
| 4.2                                                                            | Legendre symbol, Jacobi Symbol.                                           | 1 hour         |
| 4.3                                                                            | Quadratic reciprocity.                                                    | 1 hour         |
| 4.4                                                                            | Quadratic residues for prime-power moduli.                                | 1 hour         |
| 4.5                                                                            | Arithmetic Functions: Definition and examples.                            | 1 hour         |

|                                                         |                                                                         |                |
|---------------------------------------------------------|-------------------------------------------------------------------------|----------------|
| 4.6                                                     | Perfect numbers, Definition and proposition.                            | 1 hour         |
| 4.7                                                     | Mobius inversion formula., application of the Mobius inversion formula. | 1 hour         |
| 4.8                                                     | Mobius function and its properties.                                     | 1 hour         |
| 4.9                                                     | The Dirichlet Product, Definition and proof.                            | 1 hour         |
| <b>Module 5: Sum of Squares and Continued Fractions</b> |                                                                         | <b>9 hours</b> |
| 5.1                                                     | Sum of Squares, Sum of two squares.                                     | 1 hour         |
| 5.2                                                     | The Gaussian Integers.                                                  | 1 hour         |
| 5.3                                                     | Sum of three squares.                                                   | 1 hour         |
| 5.4                                                     | Sum of four squares.                                                    | 1 hour         |
| 5.5                                                     | Continued Fractions, Finite continued fractions.                        | 1 hour         |
| 5.6                                                     | Continued Fractions, Finite continued fractions.                        | 1 hour         |
| 5.7                                                     | Infinite continued fractions.                                           | 1 hour         |
| 5.8                                                     | Pell's Equation, Definition.                                            | 1 hour         |
| 5.9                                                     | Solution of Pell's equation by continued fractions.                     | 1 hour         |

| AIT294 | COMPUTATIONAL<br>FUNDAMENTALS FOR<br>BIOINFORMATICS | Category | L | T | P | Credit | Year of<br>Introduction |
|--------|-----------------------------------------------------|----------|---|---|---|--------|-------------------------|
|        |                                                     | VAC      | 3 | 1 | 0 | 4      | 2020                    |

**Preamble:** Bioinformatics is an interdisciplinary area that combines Computer Science, Molecular Biology, and Mathematics and allied areas of Science. This course covers computational fundamentals of Bioinformatics and Computational Biology such as DNA, genes and proteins, transcription, translation, sequence alignment, representation and basic Python programming required for handling bioinformatics data. The learners will be able to solve basic bioinformatics problems using python programming.

**Prerequisite:** Basic understanding of programming languages.

### Mapping of course outcomes with program outcomes

|             |                                                                                                                                                                                                  |
|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>CO 1</b> | Describe the basic concepts of Bioinformatics with an emphasis on biological macromolecules-DNA, RNA and Protein and synthesis of biomolecules ( <b>Cognitive knowledge level : Understand</b> ) |
| <b>CO 2</b> | Identify biological data formats and databases, retrieve bio-sequences, and align bio-sequences to identify similarity, dynamic programming ( <b>Cognitive knowledge level : Apply</b> )         |
| <b>CO 3</b> | Illustrate nucleotide attributes and transcription using programming tools ( <b>Cognitive knowledge level : Apply</b> )                                                                          |
| <b>CO 4</b> | Demonstrate the concepts of Parsing FASTA and Sequences Analysis ( <b>Cognitive knowledge level : Apply</b> )                                                                                    |
| <b>CO 5</b> | Compute k-mers, translation of DNA subsequences and Open reading frame. ( <b>Cognitive knowledge level : Apply</b> )                                                                             |

### Mapping of course outcomes with program outcomes

|            | PO1 | PO2 | PO3 | PO4 | PO5 | PO6 | PO7 | PO8 | PO9 | PO10 | PO11 | PO12 |
|------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|------|
| <b>CO1</b> | √   | √   | √   |     |     |     |     |     |     |      |      | √    |
| <b>CO2</b> | √   | √   | √   | √   | √   | √   |     |     |     |      |      | √    |
| <b>CO3</b> | √   | √   | √   | √   | √   |     |     |     |     |      |      | √    |
| <b>CO4</b> | √   | √   | √   | √   | √   |     |     |     |     |      |      | √    |
| <b>CO5</b> | √   | √   | √   | √   |     |     |     |     |     |      |      | √    |

| Abstract POs defined by National Board of Accreditation |                                            |      |                                |
|---------------------------------------------------------|--------------------------------------------|------|--------------------------------|
| PO#                                                     | Broad PO                                   | PO#  | Broad PO                       |
| PO1                                                     | Engineering Knowledge                      | PO7  | Environment and Sustainability |
| PO2                                                     | Problem Analysis                           | PO8  | Ethics                         |
| PO3                                                     | Design/Development of solutions            | PO9  | Individual and team work       |
| PO4                                                     | Conduct investigations of complex problems | PO10 | Communication                  |
| PO5                                                     | Modern tool usage                          | PO11 | Project Management and Finance |
| PO6                                                     | The Engineer and Society                   | PO12 | Lifelong learning              |

### Assessment Pattern

| Bloom's Category | Continuous Assessment Tests |           | End Semester Examination |
|------------------|-----------------------------|-----------|--------------------------|
|                  | Test1 (%)                   | Test2 (%) |                          |
| Remember         | 10                          | 10        | 10                       |
| Understand       | 30                          | 30        | 70                       |
| Apply            | 10                          | 10        | 20                       |
| Analyse          |                             |           |                          |
| Evaluate         |                             |           |                          |
| Create           |                             |           |                          |

### Mark Distribution

| Total Marks | CIE Marks | ESE Marks | ESE Duration |
|-------------|-----------|-----------|--------------|
| 150         | 50        | 100       | 3            |

### Continuous Internal Evaluation Pattern:

|                                                               |          |
|---------------------------------------------------------------|----------|
| Attendance                                                    | 10 marks |
| Continuous Assessment Tests (Average of Internal Tests 1 & 2) | 25 marks |
| Continuous Assessment Assignment                              | 15 marks |

### Internal Examination Pattern

Each of the two internal examinations has to be conducted out of 50 marks. First series test shall be preferably conducted after completing the first half of the syllabus and the second series test shall be preferably conducted after completing remaining part of the syllabus. There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly completed module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly completed module), each with 7 marks. Out of the 7 questions, a student should answer any 5.

### End Semester Examination Pattern:

There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 full questions from each module of which student should answer any one. Each question can have maximum 2 sub-divisions and carries 14 marks.

## SYLLABUS

### Module-1 (Introduction to bioinformatics)

Introduction to bioinformatics, Nature & Scope of Bioinformatics, animal vs plants, Eukaryote vs prokaryote, Nucleus. Chromosome, gene DNA, RNA, amino acids, and Protein, The Central Dogma, Messenger RNA, tRNA, rRNA, Genetic code, Gene Structure, Transcription, translation.

### Module-2 (Introduction to bio sequences and analysis)

Introduction to Biological Databases and data storage, NCBI, Genbank, Bio sequence formats- Database Similarity Searching, BLAST, Sequence alignment, Scoring Matrices, Multiple-Sequence Alignment, Dynamic programming

### Module 3: (Introduction to Processing Nucleotides)

Tetranucleotide Frequency, Counting the Nucleotides, Writing and Verifying a Solution, Transcribing DNA into mRNA: Mutating Strings, Reading and Writing Files, Reverse Complement of DNA, String Manipulation, Iterating Over a Reversed String.

### Module 4: (Processing Nucleotides GC Content and Hamming Distance)

Creating the Fibonacci Sequence, Writing, Testing, and Benchmarking Algorithms, retrieving FASTA Using Biopython, Iterating the Sequences Using a for Loop, Parsing FASTA and Analyzing Sequences, Computing GC Content, Finding the Hamming Distance, Counting Point Mutations

**Module 5 (Translation of DNA and subsequence)**

K-mers and Codons, Translating Codons, Translating mRNA into Protein, Finding Subsequences of DNA, Find a Motif in DNA, Finding Overlapping Patterns Using Regular Expressions, Sequence Similarity, Finding the Shortest Sequence in a FASTA File, Extracting K-mers from a Sequence, Counting Frequencies of K-mers, Finding Open Reading Frames

**Text Books**

1. Mount, D. W.. *Bioinformatics: Sequence and Genome Analysis*. India, CBS Publishers & Distributors, 2005.
2. Youens-Clark, Ken. *Mastering Python for Bioinformatics*. United States: O'Reilly Media, 2021.

**References**

1. Kelley, S.T. and Didulo, D, *Computational Biology: A Hypertextbook*. John Wiley & Sons, 2020
2. Baxeavanis, Andreas D., Gary D. Bader, and David S. Wishart, eds. *Bioinformatics*. John Wiley & Sons, 2020.
3. Shaik, Noor Ahmad, et al. *Essentials of Bioinformatics, Volume I*. Springer, 2019
4. Selzer, Paul M., Richard J. Marhöfer, and Andreas Rohwer, *Applied bioinformatics. An introduction–Springer, Verlag,*, 2008.
5. S C Rastogi, N Mendiratta and P Rastogi, *Bioinformatics: Methods and Applications*, PHI Learning Private Limited, New Delhi, 2015.
6. D E Krane and M L Raymer, *Fundamental Concepts of Bioinformatics*, Pearson Education, 2006.
7. Bassi, Sebastian. *Python for Bioinformatics*. United Kingdom: CRC Press, 2017.
8. Model, Mitchell L. *Bioinformatics Programming Using Python*. United States: O'Reilly Media, 2010.
9. Antao, Tiago. *Bioinformatics with Python Cookbook*. United Kingdom: Packt Publishing, 2015. Antao, Tiago. *Bioinformatics with Python Cookbook: Learn how to Use Modern Python Bioinformatics Libraries and Applications to Do Cutting-edge Research in Computational Biology*, 2nd Edition. United Kingdom: Packt Publishing, 2018.

ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING  
**Course Level Assessment Questions**

**Course Outcome 1 (CO1)**

1. Compare and contrast the DNA and RNA on the basis of structure and functions.
2. Demonstrate with the help of a flow diagram the generation of protein using the transcription and translation process.

**Course Outcome 2 (CO2):**

1. Identify the following qualifiers for GenBank and give their definitions: [ACCN], [ALL], [AUTH], [ECNO], [FKEY], [GENE], [JOUR], [KYWD]
2. Find the sequence alignment between the following two sequences, locally and Globally  
Sequence1: GATTCTATCTAACTA, Sequence2: GTTCTATTCTAAC
3. Retrieve sequence of Severe acute respiratory syndrome coronavirus 2 and use BLAST to find the similar sequences

**Course Outcome 3 (CO3):**

1. Write a Python program pseudocode to read the below given sequence as command line argument and print the counts for each of the bases A, C, G, and T.  
Sequence: ACTGCAACGGGCAATATGTCTC
2. Write a python pseudocode to transcribe the following DNA sequence to its mRNA sequence.  
Sequence: TGCAACGGGCAATATGTCTC

**Course Outcome 4 (CO4)**

1. Solve the problem of generating the Fibonacci sequence using Python.
2. Use a simple python program using a list to find the DNA string having the highest GC content, provided any 5 random DNA strings.

**Course Outcome 5 (CO5)**

1. Illustrate with the help of an example how an RNA string is getting converted to a protein string.
2. Write a python code to print the position and the number of times a subsequence is present in a given DNA string.

**Model Question Paper**

**QP CODE:**

**Reg No:** \_\_\_\_\_

**Name:** \_\_\_\_\_ **PAGES : 4**

**APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY**

**FOURTH SEMESTER B.TECH DEGREE (HONOURS) EXAMINATION, MONTH &  
YEAR**

**Course Code: AIT294**

**Course Name: COMPUTATIONAL FUNDAMENTALS FOR BIOINFORMATICS**

**Max. Marks : 100**

**Duration: 3 Hours**

**PART A**

Answer All Questions. Each Question Carries 3 Marks

1. Differentiate DNA, Gene, genome and chromosome.
2. What do you mean by Gene expression?
3. Specify the functions of mRNA, tRNA and rRNA?
4. Differentiate between local and global alignment.
5. Find the reverse complement of the following DNA given in 5'-3' order?  
AAAACCCGGT
6. List any 3 string manipulation construct used in processing nucleotides.
7. Illustrate how recursion is implemented using a Python pseudocode.
8. What is GC content? Give the GC content of the DNA string: "AGCTATAG".
9. Discuss the role of K-mers and codons in protein synthesis.
10. Define motif in DNA. Mention its importance in finding a conserved sequence.

**(10x3=30)**

**Part B****(Answer any one question from each module. Each question carries 14 Marks)**

11. (a) Discuss the central dogma of molecular biology. (7)
- (b) How is the primary transcript produced by a prokaryote different from that produced by a eukaryotic cell? (7)

OR

12. (a) Differentiate between Prokaryote and Eukaryote Cell (7)
- (b) Describe with the help of a neat diagram, the structure of DNA. (7)

13. (a) What is sequence alignment? Explain any five applications of sequence alignment in Bioinformatics? (7)
- (b) Discuss variants of BLAST with its input and output (7)

OR

14. (a) Explain the working principles of the Nucleotide BLAST with an example (7)
- (b) Differentiate primary and secondary databases in Bioinformatics. (7)

15. (a) How do you find the reverse complement of a DNA sequence? Write at least 2 different Python pseudocodes using different constructs to print the reverse complement of a given the 5'-3' end of a DNA sequence. (10)
- (b) Write a Python pseudocode to convert DNA sequence to RNA sequence by using the re.sub() regular expression construct. (4)

OR

16. (a) What is the need for 'argparse' module in Python? How can we use this module in different ways to do a tetra nucleotide frequency count? (7)
- (b) Write a Python program pseudocode to read the below given sequence as command line argument and print the counts for each of the bases A, C, G, and T. (7)
17. (a) Generate a random DNA sequence using python and find the transcribed DNA sequence of its reverse complement (7)

- (b) Write a python code using regular expressions to find the DNA sequence having the highest GC content in a DNA sequence. (7)

OR

18. (a) Define Hamming distance. Using hamming distance, find the percentage of similarity between the sequence AAACCCGGGTTT and AACCCGGGGTTTA with one sequence in line with other. (7)
- (b) Write a Python code using zip() function to find the hamming distance between 2 sequence. Give comments on each construct used in the code. (7)
19. (a) Write a Python program using function and a list comprehension to translate RNA into protein. Illustrate working of the program with an example RNA string. (10)
- (b) Illustrate with python pseudocode to show how the str.find( ) function can be used to find a substring and its position in an input sequence. (4)

OR

20. (a) Illustrate with the help of an example how an RNA string is getting converted to a protein string.. (6)
- (b) Write notes on ORF. Write a python code to find the ORF using the str.find( ) and str.partition( ) functions. (8)



ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING  
**TEACHING PLAN**

| No                                                                    | Contents                                                   | No of Lecture Hrs |
|-----------------------------------------------------------------------|------------------------------------------------------------|-------------------|
| <b>Module-1 (Introduction to bioinformatics)(10 hrs)</b>              |                                                            |                   |
| 1.1                                                                   | Introduction to bioinformatics                             | 1                 |
| 1.2                                                                   | Nature & Scope of Bioinformatics                           | 1                 |
| 1.3                                                                   | Animal vs plants, Eukaryote vs prokaryote                  | 1                 |
| 1.4                                                                   | Nucleus. Chromosome, gene                                  | 1                 |
| 1.5                                                                   | DNA, RNA, and Protein                                      | 1                 |
| 1.6                                                                   | The Central Dogma introduction                             | 1                 |
| 1.7                                                                   | Messenger RNA, tRNA, rRNA,                                 | 1                 |
| 1.8                                                                   | Genetic code                                               | 1                 |
| 1.9                                                                   | Gene Structure and Control                                 | 1                 |
| 1.10                                                                  | Transcription, Translation                                 | 1                 |
| <b>Module-2 (Introduction to bio sequences and analysis) (10 hrs)</b> |                                                            |                   |
| 2.1                                                                   | Introduction to Biological Databases and data storage      | 1                 |
| 2.2                                                                   | NCBI, Genbank                                              | 1                 |
| 2.3                                                                   | NCBI, Genbank Sequence retrieval                           | 1                 |
| 2.4                                                                   | Bio sequence formats                                       | 1                 |
| 2.5                                                                   | Database Similarity Searching, BLAST                       | 1                 |
| 2.6                                                                   | BLAST Exercises                                            | 1                 |
| 2.7                                                                   | Sequence alignment                                         | 1                 |
| 2.8                                                                   | Scoring Matrices                                           | 1                 |
| 2.9                                                                   | Multiple-Sequence Alignment                                | 1                 |
| 2.10                                                                  | Introduction to Dynamic programming in MSA                 | 1                 |
| <b>Module-3 (Introduction to Processing Nucleotides) (8 hrs)</b>      |                                                            |                   |
| 3.1                                                                   | Counting the Nucleotides, Writing and Verifying a Solution | 1                 |

|     |                                      |   |
|-----|--------------------------------------|---|
| 3.2 | Transcribing DNA into mRNA           | 1 |
| 3.3 | Iterating the Input Files            | 1 |
| 3.4 | Mutating Strings                     | 1 |
| 3.5 | Writing and Reading Output Sequences | 1 |
| 3.6 | Reverse Complement of DNA            | 1 |
| 3.7 | String Manipulation                  | 1 |
| 3.8 | Iterating Over a Reversed String     | 1 |

#### **Module-4 (Processing Nucleotides GC Content and Hamming Distance) (8 hrs)**

|     |                                               |   |
|-----|-----------------------------------------------|---|
| 4.1 | Creating the Fibonacci Sequence               | 1 |
| 4.2 | Writing, Testing, and Benchmarking Algorithms | 1 |
| 4.3 | Retrieving FASTA Using Biopython              | 1 |
| 4.4 | Parsing FASTA and Analysing Sequences         | 1 |
| 4.5 | Computing GC Content                          | 1 |
| 4.6 | Finding the Hamming Distance                  | 1 |
| 4.7 | Iterating the Characters of Two Strings       | 1 |
| 4.8 | Counting Point Mutations                      | 1 |

#### **Module-5 (Translation of DNA and subsequence) (9 hrs)**

|     |                                                                                   |   |
|-----|-----------------------------------------------------------------------------------|---|
| 5.1 | K-mers and Codons                                                                 | 1 |
| 5.2 | Translating mRNA into Protein                                                     | 1 |
| 5.3 | Finding Subsequence of DNA                                                        | 1 |
| 5.4 | Find a Motif in DNA                                                               | 1 |
| 5.5 | Finding Overlapping Patterns Using Regular Expressions                            | 1 |
| 5.6 | Sequence Similarity                                                               | 1 |
| 5.7 | Finding the Shortest Sequence in a FASTA File , Extracting K-mers from a Sequence | 1 |
| 5.8 | Counting Frequencies of K-mers                                                    | 1 |
| 5.9 | Finding Open Reading Frames                                                       | 1 |

| AIT296 | ADVANCED TOPICS IN<br>COMPUTER GRAPHICS | CATEGORY | L | T | P | CREDITS |
|--------|-----------------------------------------|----------|---|---|---|---------|
|        |                                         | VAC      | 3 | 1 | 0 | 4       |

**Preamble:** This course helps the learners to make awareness about strong theoretical concept in computer graphics. It covers the three-dimensional environment representation in a computer, transformation of 2D/3D objects, basic mathematical techniques and algorithms used to build useful applications. This course enables the learners to develop the ability to create image processing frameworks for different domains and develops algorithms for emerging display technologies.

**Prerequisite:** A sound knowledge of Mathematics and concepts of any programming language.

**Course Outcomes:** After the completion of the course the student will be able to

| CO# | CO                                                                                                                            |
|-----|-------------------------------------------------------------------------------------------------------------------------------|
| CO1 | Describe the working principles of graphics devices( <b>Cognitive Knowledge level: Understand</b> )                           |
| CO2 | Illustrate line drawing, circle drawing and polygon filling algorithms( <b>Cognitive Knowledge level: Apply</b> )             |
| CO3 | Demonstrate geometric representations and transformations on 2D & 3D objects. ( <b>Cognitive Knowledge level: Apply</b> )     |
| CO4 | Demonstrate the working of various clipping algorithms and projection algorithms. ( <b>Cognitive Knowledge level: Apply</b> ) |
| CO5 | Summarize visible surface detection methods( <b>Cognitive Knowledge level: Understand</b> )                                   |
| CO6 | Explain the concept of realism in a scene and its performance preservation( <b>Cognitive Knowledge level: Understand</b> )    |

#### Mapping of course outcomes with program outcomes

|     | PO1 | PO2 | PO3 | PO4 | PO5 | PO6 | PO7 | PO8 | PO9 | PO10 | PO11 | PO12 |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|------|
| CO1 |     |     |     |     |     |     |     |     |     |      |      |      |
| CO2 |     |     |     |     |     |     |     |     |     |      |      |      |
| CO3 |     |     |     |     |     |     |     |     |     |      |      |      |
| CO4 |     |     |     |     |     |     |     |     |     |      |      |      |
| CO5 |     |     |     |     |     |     |     |     |     |      |      |      |
| CO6 |     |     |     |     |     |     |     |     |     |      |      |      |

| Abstract POs defined by National Board of Accreditation |                                            |      |                                |
|---------------------------------------------------------|--------------------------------------------|------|--------------------------------|
| PO#                                                     | Broad PO                                   | PO#  | Broad PO                       |
| PO1                                                     | Engineering Knowledge                      | PO7  | Environment and Sustainability |
| PO2                                                     | Problem Analysis                           | PO8  | Ethics                         |
| PO3                                                     | Design/Development of solutions            | PO9  | Individual and team work       |
| PO4                                                     | Conduct investigations of complex problems | PO10 | Communication                  |
| PO5                                                     | Modern tool usage                          | PO11 | Project Management and Finance |
| PO6                                                     | The Engineer and Society                   | PO12 | Life long learning             |

**Assessment Pattern**

| Bloom's Category | Continuous Assessment Tests |            | End Semester Examination Marks (%) |
|------------------|-----------------------------|------------|------------------------------------|
|                  | Test 1 (%)                  | Test 2 (%) |                                    |
| Remember         | 30                          | 30         | 30                                 |
| Understand       | 30                          | 30         | 30                                 |
| Apply            | 40                          | 40         | 40                                 |
| Analyze          |                             |            |                                    |
| Evaluate         |                             |            |                                    |
| Create           |                             |            |                                    |

**Mark Distribution**

| Total Marks | CIE Marks | ESE Marks | ESE Duration |
|-------------|-----------|-----------|--------------|
| 150         | 50        | 100       | 3            |

**Continuous Internal Evaluation Pattern:**

|                                                             |          |
|-------------------------------------------------------------|----------|
| Attendance                                                  | 10 marks |
| Continuous Assessment Tests (Average of Series Tests 1 & 2) | 25 marks |
| Continuous Assessment Assignment                            | 15 marks |

**Internal Examination Pattern:**

Each of the two internal examinations has to be conducted out of 50 marks. The first series test shall be preferably conducted after completing the first half of the syllabus and the second series test shall be preferably conducted after completing the remaining part of the syllabus. There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly completed module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly completed module), each with 7 marks. Out of the 7 questions, a student should answer any 5.

**End Semester Examination Pattern:**

There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 full questions from each module of which student should answer any one full question. Each question can have maximum 2 sub-divisions and carries 14 marks.

**SYLLABUS****Module – 1(Line and Circle drawing algorithms)**

Basics of Computer Graphics and its applications. Video Display devices - Refresh Cathode Ray Tubes, Random Scan Displays and systems, Raster scan displays and systems, Color CRT displays, Flat panel display and its categories. Line drawing algorithms - DDA, Bresenham's algorithm. Circle drawing algorithms - Midpoint Circle generation algorithm, Bresenham's algorithm.

**Module - 2(Filled Area Primitives and Two dimensional transformations)**

Filled Area Primitives- Scan line polygon filling, Boundary filling and flood filling. Two dimensional transformations-Translation, Rotation, Scaling, Reflection and Shearing, Composite transformations, Matrix representations and homogeneous coordinates.

**Module - 3 (Clipping and 3D transformations)**

Window to viewport transformation. Cohen Sutherland and Midpoint subdivision line clipping algorithms, Sutherland Hodgeman and Weiler Atherton Polygon clipping algorithms. Three dimensional viewing pipeline. Basic 3D transformations.

**Module - 4 (Projections and Visible Surface detection)**

Projections- Parallel and Perspective projections. Visible surface detection algorithms- Back face detection, Depth buffer algorithm, Scan line algorithm, A buffer algorithm

**Module - 5 (Realism and performance)**

Realism - Illumination Shading, Shadows, Texture mapping, Bump mapping, Environment mapping, Transparency, Accumulation Buffer, Back face Culling, Visibility Culling.

**Text Books**

1. Donald Hearn and M. Pauline Baker, Computer Graphics, PHI, 2e, 1996
2. Aditi Majumder and M.Gopi , Introduction to VISUAL COMPUTING Core Concepts in Computer Vision, Graphics, and Image Processing, 2018

**References**

- 1) William M. Newman and Robert F. Sproull, Principles of Interactive Computer Graphics. McGraw Hill, 2001
- 2) Zhigang Xiang and Roy Plastock, Computer Graphics (Schaum's outline Series), McGraw Hill, 2019.
- 3) David F. Rogers , Procedural Elements for Computer Graphics, Tata McGraw Hill, 2001.
- 4) Donald Hearn, M. Pauline Baker and Warren Carithers, Computer Graphics with OpenGL, PHI, 4e, 2013

**Course Level Assessment Questions****Course Outcome 1 (CO1):**

1. Compare the working principle of raster scan systems and random scan systems.
2. How much time is spent scanning across each row of pixels during screen refresh on a raster system with resolution of 1280\*1024 and a refresh rate of 60 frames per second?

**Course Outcome 2 (CO2):**

1. Rasterize the line with end points accepted from the user(2,3) and (5,8) using Bresenham's line drawing algorithm and implement it using any appropriate programming language. (Assignment)
2. Illustrate how the 4-connected area filling approach differs from 8- connected area filling in boundary filling algorithm and implement it using any appropriate programming language.(Assignment)

**Course Outcome 3 (CO3):**

1. Rotate a triangle ABC 45 degree counter clockwise about the pivot point (10,3) , where the position vector of the coordinate ABC is given as A(4,1), B(5,2) and C(4,3).
2. Implement the above transformation using any appropriate programming language with user inputs. (Assignment)

**Course Outcome 4 (CO4):**

1. Given a clipping window A(20,20), B(60,20), C(60,40) and D(20,40). Using Cohen Sutherland algorithm, find the visible portion of the line segment joining the points P(40,80) and Q(120,30).
2. Implement Cohen Sutherland clipping algorithm using any appropriate programming language with user inputs. (Assignment)

**Course Outcome 5 (CO5):**

1. Explain scan line algorithm for detecting visible surfaces in an object.

**Course Outcome 6 (CO6):**

1. You are rendering a black and white checkered tiled floor using a single texture mapped polygon. The view is simulating a person standing on the floor and looking at a point far away from him on the floor. (1) Artifacts at the distant end of the floor can be seen. How would you remove these artifacts? (2) How can you explain why this method works using the sampling theorem?
2. You are seeing an object which is either texture mapped, bump mapped or displacement mapped but you don't know which one. However, you have the liberty to move the light and the viewpoint of an object and see it from different angles and for different positions of the light. How will you figure out which technique was used?

**Model Question Paper****QP CODE:****Reg No:** \_\_\_\_\_**Name:** \_\_\_\_\_**PAGES : 4****APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY****FOURTH SEMESTER B.TECH DEGREE EXAMINATION, MONTH & YEAR****Course Code: AIT296****Course Name: Advanced Topics in Computer Graphics****Max. Marks : 100****Duration: 3 Hours****PART A****Answer All Questions. Each Question Carries 3 Marks**

1. Consider a raster system with a resolution of  $1024 \times 1024$ . Compute the size of the raster needed to store 4 bits per pixel? How much storage is needed if 8 bits per pixel are to be stored?
2. How 8-way symmetry of circle can be used for writing circle drawing algorithms? Write the symmetric points if  $(x, y)$  is a point on the circle with centre at origin.
3. Show that two successive reflections about either of the coordinate axes is equivalent to a single rotation about the coordinate origin.
4. Determine a sequence of basic transformations that are equivalent to the x-direction shearing matrix.

5. Find the window to viewport normalization transformation with window lower left corner at (1,1) and upper right corner at (2,6).
6. How does Cohen Sutherland algorithm determine whether a line is visible, invisible or a candidate for clipping based on the region codes assigned to the end points of the line?
7. Define the terms (i) Centre of projection (ii) Principal vanishing point
8. Differentiate between the object space and image space method for the hidden surface removal of an image.
9. Describe the steps used to convert the normal map to bump mapping.
10. One artifact of Gouraud shading is that it can miss specular highlights in the interior of the triangles. How can this be explained as an aliasing artifact?

(10x3=30  
)

### Part B

(Answer any one question from each module. Each question carries 14 Marks)

11. (a) Derive the initial decision parameter of Bresenham's line drawing algorithm and rasterize a line with endpoints (2,2) and (10,10). (8)
- (b) Draw the architecture of raster scan display systems and explain its working principle (6)

OR

12. (a) Explain the working principle of a Refresh CRT monitor with suitable diagrams. (7)
- (b) Write Midpoint circle drawing algorithm and plot a circle with radius=20 and center (50,30) using the algorithm. (7)
13. (a) Differentiate between boundary fill and flood fill algorithms. (5)
- (b) Reflect a triangle ABC about the line  $3x-4y+8=0$ , where the position vector of the coordinate ABC is given as A(4,1), B(5,2) and C(4,3). (9)

OR

14. (a) A diamond shaped polygon is located at P(-1,0), Q(0,-2), R(1,0) and S(0,2). Find the transformation matrix which would rotate the triangle by 90 degree counter clockwise about the point Q. Using the transformation matrix, find (7)

the coordinates of the rotated polygon.

(b) Illustrate the working principle of scan line polygon filling algorithm (7)

15. (a) Illustrate Weiler – Atherton polygon clipping algorithm. (6)

(b) Explain Cohen-Sutherland line clipping algorithm. Use the algorithm to clip line  $P_1(70, 20)$  and  $P_2(100, 10)$  against a window lower left hand corner  $(50, 10)$  and upper right hand corner  $(80, 40)$ . (8)

**OR**

16. (a) Describe the steps required for a general 3D rotation if the rotation axis is not parallel to any one of the principal axis. The rotation axis is defined by the points  $P_1(x_1, y_1, z_1)$  and  $P_2(x_2, y_2, z_2)$ . Give its composite matrix representation (6)

(b) Describe Sutherland Hodgeman polygon clipping algorithm and list out its limitations (8)

17. (a) Explain how visible surfaces can be detected using depth buffer algorithm. (7)

(b) Define parallel projection. Describe orthographic and oblique parallel projection. (7)

**OR**

18. (a) Illustrate the scan line method used in visible surface detection. (7)

(b) Explain the steps involved in performing perspective projections (7)

19. (a) Specify any three shading algorithms used in interactive graphics. (6)

(b) Explain the procedure of texture to object space mapping. (8)

**OR**

20. (a) Explain the mapping scheme in which the effects of small bumps on the surface of an object can be simulate without changing the number of primitives (8)

(b) Describe about object to screen space mapping. (6)

ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING  
**TEACHING PLAN**

| No                                                                                     | Contents                                           | No of Lecture Hrs |
|----------------------------------------------------------------------------------------|----------------------------------------------------|-------------------|
| <b>Module – 1 (Line and Circle drawing algorithms) (10 hrs)</b>                        |                                                    |                   |
| 1.1                                                                                    | Basics of Computer Graphics and applications       | 1                 |
| 1.2                                                                                    | Refresh Cathode Ray Tubes                          | 1                 |
| 1.3                                                                                    | Random and Raster Scan Displays and systems,       | 1                 |
| 1.4                                                                                    | Color CRT displays                                 | 1                 |
| 1.5                                                                                    | Flat panel display and its categories.             | 1                 |
| 1.6                                                                                    | DDA Line drawing Algorithm                         | 1                 |
| 1.7                                                                                    | Bresenham's line drawing algorithm                 | 1                 |
| 1.8                                                                                    | Midpoint Circle generation algorithm               | 1                 |
| 1.9                                                                                    | Bresenham's Circle generation algorithm            | 1                 |
| 1.10                                                                                   | Illustration of line and circle drawing algorithms | 1                 |
| <b>Module - 2 (Filled Area Primitives and Two dimensional transformations) (9 hrs)</b> |                                                    |                   |
| 2.1                                                                                    | Scan line polygon filling                          | 1                 |
| 2.2                                                                                    | Boundary filling and flood filling                 | 1                 |
| 2.3                                                                                    | Basic 2D transformations-Translation               | 1                 |
| 2.4                                                                                    | Basic 2D transformations- Rotation                 | 1                 |
| 2.5                                                                                    | Basic 2D transformations- Scaling                  | 1                 |
| 2.6                                                                                    | Reflection and Shearing                            | 1                 |
| 2.7                                                                                    | Illustration of Basic 2D Transformations           | 1                 |
| 2.8                                                                                    | Composite transformations                          | 1                 |
| 2.9                                                                                    | Matrix representations and homogeneous coordinates | 1                 |
| <b>Module - 3 (Clipping and 3D transformations) ( 8 hrs)</b>                           |                                                    |                   |
| 3.1                                                                                    | Window to viewport transformation                  | 1                 |
| 3.2                                                                                    | Cohen Sutherland Line clipping algorithm           | 1                 |
| 3.3                                                                                    | Midpoint subdivision Line clipping algorithm       | 1                 |
| 3.4                                                                                    | Sutherland Hodgeman Polygon clipping algorithm     | 1                 |
| 3.5                                                                                    | Weiler Atherton Polygon clipping algorithm         | 1                 |
| 3.6                                                                                    | Three dimensional viewing pipeline                 | 1                 |

|                                                                       |                                                                |   |
|-----------------------------------------------------------------------|----------------------------------------------------------------|---|
| 3.7                                                                   | Basic 3D transformation-Translation and scaling                | 1 |
| 3.8                                                                   | Basic 3D transformation-Rotation                               | 1 |
| <b>Module - 4 (Projections and Visible Surface detection) (7 hrs)</b> |                                                                |   |
| 4.1                                                                   | Projections-Parallel projections                               | 1 |
| 4.2                                                                   | Projections- Perspective projections                           | 1 |
| 4.3                                                                   | Illustration of projection methods                             | 1 |
| 4.4                                                                   | Visible surface detection algorithms- Back face detection      | 1 |
| 4.5                                                                   | Depth buffer algorithm                                         | 1 |
| 4.6                                                                   | Scan line visible surface detection algorithm                  | 1 |
| 4.7                                                                   | <i>A buffer</i> algorithm                                      | 1 |
| <b>Module - 5 (Realism and performance)( 10 hrs)</b>                  |                                                                |   |
| 5.1                                                                   | Illumination                                                   | 1 |
| 5.2                                                                   | Shading and Shadows                                            | 1 |
| 5.3                                                                   | Texture mapping-Texture to object space mapping                | 1 |
| 5.4                                                                   | Texture mapping-Object to screen space mapping and Mip Mapping | 1 |
| 5.5                                                                   | Bump mapping                                                   | 1 |
| 5.6                                                                   | Bump mapping-Illustration                                      | 1 |
| 5.7                                                                   | Environment mapping and Transparency                           | 1 |
| 5.8                                                                   | Accumulation Buffer and Back face Culling                      | 1 |
| 5.9                                                                   | Visibility Culling                                             | 1 |
| 5.10                                                                  | Visibility Culling                                             | 1 |